

Contents

1 Introduction	1
References	6
 Part I Instructions for the Socio-Legal Research	
2 The Socio-Legal Framework	9
2.1 An Elastic Concept of Legal Effectiveness.....	15
2.1.1 The Political Plan	16
2.1.2 The Symbolic Function of Law	18
2.1.3 The Integration of the Law in the Existing Criminal Justice System	21
2.1.4 The Effects of the Implementation	22
2.2 Assessing the Variables	24
2.3 Considerations	28
References	28
 Part II The Genesis of the Anti-Money Laundering Regime: Tracing Statements of Legislative Purposes	
3 The International Law-Making Process	33
3.1 Tracing the International Law-Making Process	35
3.1.1 The Genesis of the Money-Laundering Offence in the Vienna Convention.....	37
3.1.2 The Money-Laundering Offence: A Tool to Tackle Organised Crime.....	40
3.1.3 The FATF Recommendations.....	42
3.1.4 Expanding the Definition of the Money-Laundering Offence.....	44

3.2	The EU's Motivation for Criminalising Money Laundering	45
3.2.1	The Fourth EU Anti-Money Laundering Directive	48
3.2.2	New Developments: Organised Crime, Terrorism, Corruption and Economic Infringements of the Law	55
3.3	Considerations	60
	References	65
4	The German Law-Making Process: Tracing Legislative Intent	69
4.1	The Parliamentarian Debate	71
4.2	Following Significant Amendments to the Anti-Money Laundering Regime	77
4.3	Considerations	80
	References	80
 Part III The Integration of Article 261 in the German Criminal Code		
5	The Doctrinal Legal Debate on Article 261 of the German Criminal Code	85
5.1	The Interests Protected by the Law: All Interests or no Interest?	86
5.1.1	The Interests Protected by Predicate Offences	89
5.1.2	The Administration of Justice	91
5.1.3	The Economic Interest	92
5.1.4	Internal Security	95
5.2	Too Broad or Too Narrow?	97
5.2.1	The Catalogue of the Predicate Offences: A Wide Scope for a Limited Application	98
5.2.2	The <i>mens rea</i> Element: Punishing Reckless Bakers Instead of Mafia Bosses	99
5.2.3	Defence Attorneys Under Threat	102
5.2.4	Definitions of 'Gegenstand' and of 'herrührt'	104
5.3	Doctrinal Opinions on the (Symbolic) Effectiveness of the Money-Laundering Offence	106
5.4	Considerations	109
	References	110
 Part IV The Implementation of the Anti-Money Laundering Regime: Between Law in Action and Law Inaction		
6	Data on the Implementation of the Anti-Money Laundering Regime	117
6.1	General Information on Statistics Provided by the Federal Statistical Office, the Police and the Financial Intelligence Unit (FIU)	120

- 6.2 *Oswald’s* Research of 1996: A Starting Point for a Further Theorisation 121
- 6.3 (Preliminary) Investigations, Type of Charges, Convictions and Penalties 126
- 6.4 The Co-operation Between FIU and Law Enforcement Agencies 130
- 6.5 Typologies of STRs Filed to the FIU. 132
- 6.6 Critical Issues. 133
- 6.7 The Volume of the Phenomenon of Money Laundering in Germany: Economic Estimates 135
- 6.8 Cost-Benefit Analyses 137
- 6.9 Considerations 141
- References 146
- 7 Opinions on the Anti-Money Laundering Regime. The Implementation of the Law from the Perspective of Legal Actors and Experts 149**
 - 7.1 Is Germany an Eldorado for Money Launderers?. 150
 - 7.1.1 What Is the Impact of Money Laundering? 154
 - 7.1.2 Economic Stability, Rule of Law: A Fertile or Hostile Environment for Money Launderers? 156
 - 7.1.3 Considerations 158
 - 7.2 Is the Current Policy Appropriate for Tackling Money Laundering? What Are the Legal Hindrances and Technical Strengths of the Policy? 159
 - 7.2.1 Legal Hindrances 159
 - 7.2.2 Systematic Hindrances 164
 - 7.2.3 Considerations 174
 - 7.3 Perceived Conflicting Interests 175
 - 7.3.1 The Law-Making Process 176
 - 7.3.2 Dirty Money Versus Capital Flight. 177
 - 7.3.3 Conducting Business Versus Persecuting and Preventing Crime. 179
 - 7.3.4 Expanding Criminal Law Versus *Ultima Ratio*. 181
 - 7.3.5 Is It Possible to Tackle Illicit Financial Flows Without Conflicting with the Interests of a Free Market? 183
 - 7.3.6 Considerations 184
 - 7.4 Perspectives on the Effectiveness of the Law. 187
 - 7.4.1 Is the Anti-Money Laundering (Law) Effective?. 187
 - 7.4.2 Is the Policy Effective in Deterring Organised Crime? 194
 - 7.4.3 Is Article 261 of the German Criminal Code (GCC) an Example of Symbolic Legislation? 197
 - 7.4.4 Considerations 200

7.5 General Considerations 202
References 205

Part V Conclusions

8 Conclusions 209
References 211

Index 213



<http://www.springer.com/978-94-6265-179-1>

Anti-money Laundering Law: Socio-legal Perspectives on
the Effectiveness of German Practices

Zoppei, V.

2017, XIV, 214 p. 1 illus., Hardcover

ISBN: 978-94-6265-179-1

A product of T.M.C. Asser Press