Contents

1 Introduction ............................................................... 1
  1.1 Escalating Technological Threats to Privacy ................. 1
  1.2 Core Theme of the Book ........................................... 3
  1.3 Rationale for the Case Studies Selection ..................... 5
  1.4 Key Questions of Interest ......................................... 7
  1.5 Added Value .......................................................... 8
  1.6 Structure and Overview of Chapters ......................... 9
References ................................................................. 10

Part I Principles of Privacy

2 Privacy, Liberty and Security ........................................ 13
  2.1 Introduction ........................................................... 13
  2.2 The Concept of Privacy .............................................. 14
  2.3 Privacy as an International Human Right ..................... 17
  2.4 The Merits of Privacy ............................................... 18
  2.5 The Concept of Liberty ............................................. 19
  2.6 Privacy and Liberty ................................................ 20
  2.7 The Concept of Security ........................................... 21
  2.8 Privacy, Liberty and Security ................................... 22
References ................................................................. 25

3 Assessing the Adequacy of a Privacy Legal Framework .......... 27
  3.1 Introduction ........................................................... 28
  3.2 An Adequate Privacy Legal Framework? ....................... 28
  3.3 International Consensus in Principle ......................... 29
  3.4 Purpose and Meaning of Each Principle ...................... 31
    3.4.1 Choice/Consent ................................................. 32
    3.4.2 Access/Participation ......................................... 33
    3.4.3 Notice/Awareness ............................................ 34
    3.4.4 Integrity/Security ........................................... 35
    3.4.5 Enforcement/Redress ....................................... 36
References ................................................................. 25
6 Public Space CCTV Microphones and Loudspeakers: The Ears and Mouth of “Big Brother”

6.1 Introduction .......................................................... 114
6.2 The Privacy-Intrusive Evolution of CCTV Surveillance Technology .......................................................... 114
6.3 The Ears and Mouth of “Big Brother” ........................................... 116
6.3.1 The Ears (CCTV Microphones) ........................................... 118
6.3.2 The Mouth (CCTV Loudspeakers) ........................................... 120
6.4 Scope of Deployment in the UK .................................................. 121
6.4.1 CCTV Microphones .................................................. 121
6.4.2 CCTV Loudspeakers .................................................. 122
6.5 Security Gains ................................................................ 125
6.5.1 CCTV Microphones .................................................. 125
6.5.2 CCTV Loudspeakers .................................................. 127
6.6 Alternatives to CCTV Microphones and Loudspeakers ................. 128
6.6.1 Alternatives to CCTV Microphones ...................................... 128
6.6.2 Alternatives to CCTV Loudspeakers ...................................... 129
6.7 Laws, Codes, Decisions and other Legal Instruments of Special Relevance in the UK .................................................. 130
6.7.1 CCTV Microphones .................................................. 135
6.7.2 CCTV Loudspeakers .................................................. 136
6.8 Deficiencies and Dilemmas of the UK Legal Framework ................. 137
6.8.1 CCTV Microphones .................................................. 137
6.8.2 CCTV Loudspeakers .................................................. 144
6.9 Policy-Relevant Recommendations ............................................ 146
6.9.1 CCTV Microphones .................................................. 147
6.9.2 CCTV Loudspeakers .................................................. 149
6.10 Concluding Remarks .......................................................... 154
References .......................................................... 154

7 Human-Implantable Microchips: Location-Awareness and the Dawn of an “Internet of Persons”

7.1 Introduction .......................................................... 158
7.2 RFID/GPS Implants and the Technology Behind Them .................... 160
7.2.1 RFID Implants .................................................. 160
7.2.2 GPS Implants .................................................. 162
7.3 Location-Awareness and the Dawn of an “Internet of Persons” .............. 164
7.3.1 The Capabilities of HIMs ........................................... 164
7.3.2 Location Information ........................................... 168
## Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.3.3</td>
<td>Social and Privacy Implications</td>
<td>170</td>
</tr>
<tr>
<td>7.3.4</td>
<td>A Means of Control</td>
<td>171</td>
</tr>
<tr>
<td>7.3.5</td>
<td>An “Internet of Persons”: A Possible Dystopian Future?</td>
<td>172</td>
</tr>
<tr>
<td>7.3.6</td>
<td>Are We Nearly There?</td>
<td>178</td>
</tr>
<tr>
<td>7.4</td>
<td>Potential Security and Well-Being Benefits</td>
<td>180</td>
</tr>
<tr>
<td>7.5</td>
<td>Security Risks and Drawbacks</td>
<td>183</td>
</tr>
<tr>
<td>7.6</td>
<td>Scope of Deployment</td>
<td>187</td>
</tr>
<tr>
<td>7.6.1</td>
<td>Actual Deployment in the US</td>
<td>187</td>
</tr>
<tr>
<td>7.6.2</td>
<td>Potential Deployment</td>
<td>190</td>
</tr>
<tr>
<td>7.6.3</td>
<td>Actual and Potential International Deployment</td>
<td>198</td>
</tr>
<tr>
<td>7.7</td>
<td>Alternatives to HIMs</td>
<td>199</td>
</tr>
<tr>
<td>7.8</td>
<td>Laws, Codes, Decisions and Other Legal Instruments of Special Relevance in the US</td>
<td>201</td>
</tr>
<tr>
<td>7.8.1</td>
<td>Constitutionally Protected Rights</td>
<td>201</td>
</tr>
<tr>
<td>7.8.2</td>
<td>Federal Statutory Laws</td>
<td>201</td>
</tr>
<tr>
<td>7.8.3</td>
<td>Tort Law</td>
<td>204</td>
</tr>
<tr>
<td>7.8.4</td>
<td>Case Law</td>
<td>204</td>
</tr>
<tr>
<td>7.8.5</td>
<td>State Statutory Laws</td>
<td>206</td>
</tr>
<tr>
<td>7.8.6</td>
<td>Administrative Decisions</td>
<td>207</td>
</tr>
<tr>
<td>7.8.7</td>
<td>Standards, Guidelines and Self-regulations</td>
<td>208</td>
</tr>
<tr>
<td>7.9</td>
<td>Deficiencies and Dilemmas of the US Legal Framework</td>
<td>209</td>
</tr>
<tr>
<td>7.10</td>
<td>Policy-Relevant Recommendations</td>
<td>226</td>
</tr>
<tr>
<td>7.10.1</td>
<td>Consent</td>
<td>229</td>
</tr>
<tr>
<td>7.10.2</td>
<td>Proportionality</td>
<td>231</td>
</tr>
<tr>
<td>7.10.3</td>
<td>Purpose Specification</td>
<td>232</td>
</tr>
<tr>
<td>7.10.4</td>
<td>Use Limitation</td>
<td>235</td>
</tr>
<tr>
<td>7.10.5</td>
<td>Enforcement, Accountability and Redress</td>
<td>236</td>
</tr>
<tr>
<td>7.10.6</td>
<td>Access and Participation</td>
<td>238</td>
</tr>
<tr>
<td>7.10.7</td>
<td>Notice and Awareness</td>
<td>239</td>
</tr>
<tr>
<td>7.10.8</td>
<td>Security</td>
<td>241</td>
</tr>
<tr>
<td>7.10.9</td>
<td>Privacy Impact Assessment</td>
<td>242</td>
</tr>
<tr>
<td>7.10.10</td>
<td>Definitions</td>
<td>243</td>
</tr>
<tr>
<td>7.10.11</td>
<td>Constitutional and Case Law Considerations</td>
<td>244</td>
</tr>
<tr>
<td>7.10.12</td>
<td>The International Dimension</td>
<td>245</td>
</tr>
<tr>
<td>7.11</td>
<td>Concluding Remarks</td>
<td>246</td>
</tr>
<tr>
<td>References</td>
<td></td>
<td>246</td>
</tr>
</tbody>
</table>

8 New Privacy Threats, Old Legal Approaches: Conclusions of Part II | 251 |

8.1 The New Threats to Privacy | 251 |
8.2 Beyond Privacy and Data Protection | 253 |
8.3 Deficiencies of the Existing Privacy Legal Frameworks | 255 |
Reference | 256 |
## Part III  New Approach to Protecting Privacy

9  The Value, Role and Challenges of Privacy by Design  
9.1  Introduction  
9.2  Concept, Theory and Origins of PBD  
9.3  PBD Methodology  
9.4  PBD Solutions for: Body Scanners, HIMs, CCTV Microphones and Loudspeakers  
9.5  PBD Versus PETs  
9.6  PBD in the Current US and UK/EU Legal Frameworks  
9.6.1  US Legal Framework  
9.6.2  EU Legal Framework  
9.7  Growing Widespread Recognition  
9.8  Potentially Growing Application  
9.9  A Unique Selling Point and Source of Value Creation  
9.10  The Growing Lack of Trust  
9.11  Potential Criticism  
9.12  Practical Challenges of Implementing PBD  
9.13  Concluding Remarks  
References  

## Part IV  Research Results  

10  Conclusions and Policy Implications  
10.1  Introduction  
10.2  Keeping Up with the Technology  
10.3  PBD: Critical Combination of Technology and Law  
10.4  Not a Substitute for Law  
10.5  Flexibility vs. Specificity  
10.6  Radical Changes for Radical Capabilities  
10.7  Implementation, Enforcement, Monitoring and Evaluation  
10.8  Accountability, Sanctions and Recalls  
10.9  Certified Privacy-Friendly  
10.10  Designing for Privacy  
10.11  Adequate PBD Solutions  
10.12  Avoiding Overregulation  
10.13  Furthering Deployment and Innovation  
10.14  Safeguarding Privacy, Liberty and Security  
10.15  Using Privacy-Friendly Alternatives  
10.16  Countering Potential Criticism of PBD  
10.17  Overcoming Some of the Challenges  
10.18  Engaging Relevant Stakeholders  
References
10.19 Not a Panacea: The Limitations and Constraints of PBD ................................. 323
10.20 Final Conclusions ................................................................. 328
References ................................................................. 329

Appendix A: A3-Report ................................................................. 331

Appendix B: Summary Table ................................................................. 333

Index ................................................................. 337