3.5 Separation of Time Scales ........................................ 50
3.6 Forced Waves .................................................. 51
3.7 How the Mixed-Rossby Gravity Wave Earned Its Name .......... 53
3.8 Hough-Hermite Vector Basis .................................... 54
  3.8.1 Introduction .............................................. 54
  3.8.2 Inner Product and Orthogonality ......................... 56
  3.8.3 Orthonormal Basis Functions .............................. 57
3.9 Applications of the Hough-Hermite Basis: Linear Initial-Value Problems ........................................ 59
3.10 Initialization Through Hough-Hermite Expansion ................ 60
3.11 Energy Relationships ........................................ 61
3.12 The Equatorial Beta-Plane as the Thin Limit of the Nonlinear Shallow Water Equations on the Sphere .......... 64
References .................................................................. 65

4 The “Long Wave” Approximation & Geostrophy ....................... 69
  4.1 Introduction .................................................... 69
  4.2 Quasi-Geostrophy ............................................. 69
  4.3 The “Meridional Geostrophy”, “Low Frequency” or “Long Wave” Approximation .................................. 71
  4.4 Boundary Conditions ........................................ 75
  4.5 Frequency Separation of Slow [Rossby/Kelvin] and Fast [Gravity] Waves .............................................. 77
  4.6 Initial Value Problems in an Unbounded Ocean, Linearized About a State of Rest, in the Long Wave Approximation ... 78
  4.7 Reflection from an Eastern Boundary in the Long Wave Approximation ................................................. 79
    4.7.1 The Method of Images ................................... 79
    4.7.2 Dilated Images .......................................... 80
    4.7.3 Zonal Velocity .......................................... 81
  4.8 Forced Problems in the Long Wave Approximation ............. 83
References .................................................................. 84

5 The Equator as Wall: Coastally Trapped Waves and Ray-Tracing ......................................................... 87
  5.1 Introduction ..................................................... 87
  5.2 Coastally-Trapped Waves ....................................... 88
  5.3 Ray-Tracing For Coastal Waves ................................. 92
  5.4 Ray-Tracing on the Equatorial Beta-Plane ...................... 95
  5.5 Coastal and Equatorial Kelvin Waves .......................... 100
  5.6 Topographic and Rotational Rossby Waves and Potential Vorticity ....................................................... 101
References .................................................................. 103
9.4.1 Forced Eigenoperators: Hermite Series .......................... 200
9.4.2 Hutton–Euler Acceleration of Slowly Converging
   Hermite Series ...................................... 202
9.4.3 Regularized Forcing .................................... 203
9.4.4 Bessel Function Explicit Solution for the
   Yoshida Jet ........................................... 204
9.4.5 Rational Approximations: Two-Point Padé
   Approximants and Rational Chebyshev Galerkin
   Methods .................................................. 207

9.5 Unstratified Models of the Undercurrent .................. 209
   9.5.1 Theory of Fofonoff and Montgomery (1955) ........... 210
   9.5.2 Model of Stommel (1960) .......................... 211
   9.5.3 Gill (1971) and Hidaka (1961) ....................... 213

References .................................................. 221

10 Stratified Models of Mean Currents .............................. 223
   10.1 Introduction ........................................ 223
   10.2 Modal Decompositions for Linear, Stratified Flow .......... 226
   10.3 Different Balances of Forces .......................... 229
       10.3.1 Bjerknes Balance .................................. 230
   10.4 Forced Baroclinic Flow in the “Bjerknes” Approximation . 232
       10.4.1 Other Balances .................................... 233
   10.5 The Sensitivity of the Undercurrent to Parameters ......... 239
   10.6 Observations of Subsurface Countercurrents
       (Tsuchiya Jets) ...................................... 242
   10.7 Alternate Methods for Vertical Structure with Viscosity .... 243
   10.8 McPhaden’s Model of the EUC and SSCC’s: Results .......... 245
   10.9 A Critique of Linear Models of the Continuously-Stratified,
       Wind-Driven Ocean .................................... 247

References .................................................. 248

11 Waves and Beams in the Continuously Stratified Ocean ....... 249
   11.1 Introduction ........................................ 249
       11.1.1 Equatorial Beams: A Theoretical Inevitability ....... 249
       11.1.2 Slinky Physics and Impedance Mismatch,
             or How Water Can Be as Reflective as Silvered
             Glass .............................................. 251
       11.1.3 Shallow Barriers to Downward Beams ................. 252
       11.1.4 Equatorial Methodology ........................... 253
   11.2 Alternate Form of the Vertical Structure Equation ......... 254
   11.3 The Thermocline as a Mirror ........................... 255
   11.4 The Mirror-Thermocline Concept: A Critique ................ 262
   11.5 The Zonal Wavenumber Condition for Strong Excitation
       of a Mode ............................................. 265
12 Stable Linearized Waves in a Shear Flow .......................... 273
12.1 Introduction ....................................... 273
12.2 $U(y)$: Pure Latitudinal Shear .......................... 274
12.3 Neutral Waves in Flow Varying with Both Latitude
and Height: Numerical Studies ........................ 276
12.4 Vertical Shear and the Method of Multiple Scales ............ 278
References .............................................. 282

13 Inertial Instability, Pancakes and Deep Internal Jets .......... 285
13.1 Introduction: Stratospheric Pancakes and Equatorial
Deep Jets ............................................. 285
13.2 Particle Argument .................................. 286
13.2.1 Linear Inertial Instability ..................... 286
13.3 Centrifugal Instability: Rayleigh’s Parcel Argument ........ 287
13.4 Equatorial Gamma-Plane Approximation .................... 289
13.5 Dynamical Equator .................................. 291
13.6 Gamma-Plane Instability ................................ 292
13.7 Mixed Kelvin-Inertial Instability ....................... 293
13.8 Summary ......................................... 294
References .............................................. 295

14 Kelvin Wave Instability: Critical Latitudes and Exponentially
Small Effects ............................................ 297
14.1 Proxies and the Optical Theorem .......................... 298
14.2 Six Ways to Calculate Kelvin Instability ................. 300
14.2.1 Power Series for the Eigenvalue ................ 301
14.2.2 Hermite-Padé Approximants ................... 302
14.2.3 Numerical Methods .............................. 302
14.3 Instability for the Equatorial Kelvin Wave in the Small
Wavenumber Limit ...................................... 305
14.3.1 Beyond-All-Orders Rossby Wave Instability ...... 306
14.3.2 Beyond-All-Orders Kelvin Wave Instability
in Weak Shear in the Long Wave
Approximation ......................................... 307
14.4 Kelvin Instability in Shear: The General Case ............. 307
References .............................................. 308

15 Nonmodal Instability ..................................... 311
15.1 Introduction ....................................... 311
15.2 Couette and Poiseuille Flow and Subcritical Bifurcation .... 312
15.3 The Fundamental Orr Solution .......................... 313
### Contents

15.4 Interpretation: The “Venetian Blind Effect” .......................... 315
15.5 Refinements to the Orr Solution .................................... 316
15.6 The “Checkerboard” and Bessel Solution .......................... 318
  15.6.1 The “Checkerboard” Solution .............................. 318
15.7 The Dandelion Strategy ............................................ 320
15.8 Three-Dimensional Transients .................................... 320
15.9 ODE Models and Nonnormal Matrices ............................ 321
15.10 Nonmodal Instability in the Tropics ............................ 323
15.11 Summary ..................................................... 325
References .......................................................... 326

16 Nonlinear Equatorial Waves ......................................... 329
  16.1 Introduction ................................................... 330
  16.2 Weakly Nonlinear Multiple Scale Perturbation Theory ........ 331
    16.2.1 Reduction from Three Space Dimensions to One .......... 331
    16.2.2 Three Dimensions and Baroclinic Modes ................ 333
  16.3 Solitary and Cnoidal Waves ................................... 334
  16.4 Dispersion and Waves ......................................... 335
    16.4.1 Derivation of the Group Velocity Through the Method of Multiple Scales .......................... 341
  16.5 Integrability, Chaos and the Inverse Scattering Method .... 342
  16.6 Low Order Spectral Truncation (LOST) ........................ 343
  16.7 Nonlinear Equatorial Kelvin Waves ............................ 344
    16.7.1 Physics of the One-Dimensional Advection (ODA) Equation: \( u_t + cu_x + buu_x = 0 \) .................. 345
    16.7.2 Post-Breaking: Overturning, Taylor Shock or “Soliton Clusters”? ................... 348
    16.7.3 Viscous Regularization of Kelvin Fronts: Burgers’ Equation And Matched Asymptotic Perturbation Theory .......................... 349
  16.8 Kelvin-Gravity Wave Shortwave Resonance: Curving Fronts and Undulations .......................... 351
  16.9 Kelvin Solitary and Cnoidal Waves ............................ 352
  16.10 Corner Waves and the Cnoidal-Corner-Breaking Scenario .... 356
  16.11 Rossby Solitary Waves ....................................... 359
  16.12 Antisymmetric Latitudinal Modes and the Modified Korteweg-deVries (MKdV) Equation .................... 364
  16.13 Shear Effects on Nonlinear Equatorial Waves ............... 365
  16.14 Equatorial Modons .......................................... 365
  16.15 A KdV Alternative: The Regularized Long Wave (RLW) Equation ........................................... 369
    16.15.1 The Useful Non-uniqueness of Perturbation Theory .......................... 369
<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>16.15.2</td>
<td>Eastward-Traveling Modons and Other Cryptozoa</td>
<td>371</td>
</tr>
<tr>
<td>16.16</td>
<td>Phenomenology of the Korteweg-deVries Equation on an Unbounded Domain</td>
<td>372</td>
</tr>
<tr>
<td>16.16.1</td>
<td>Standard Form/Group Invariance</td>
<td>372</td>
</tr>
<tr>
<td>16.16.2</td>
<td>The KdV Equation and Longitudinal Boundaries</td>
<td>372</td>
</tr>
<tr>
<td>16.16.3</td>
<td>Calculating the Solitons Only</td>
<td>377</td>
</tr>
<tr>
<td>16.16.4</td>
<td>Elastic Soliton Collisions</td>
<td>378</td>
</tr>
<tr>
<td>16.16.5</td>
<td>Periodic BC</td>
<td>379</td>
</tr>
<tr>
<td>16.16.6</td>
<td>The KdV Cnoidal Wave</td>
<td>381</td>
</tr>
<tr>
<td>16.17</td>
<td>Soliton Myths and Amazements</td>
<td>382</td>
</tr>
<tr>
<td>16.17.1</td>
<td>Imbricate Series and the Nonlinear Superposition Principle</td>
<td>382</td>
</tr>
<tr>
<td>16.17.2</td>
<td>The Lemniscate Cnoidal Wave: Strong Overlap of the Soliton and Sine Wave Regimes</td>
<td>384</td>
</tr>
<tr>
<td>16.17.3</td>
<td>Solitary Waves Are Not Special</td>
<td>386</td>
</tr>
<tr>
<td>16.17.4</td>
<td>Why “Solitary Wave” Is the Most Misleading Term in Oceanography</td>
<td>386</td>
</tr>
<tr>
<td>16.17.5</td>
<td>Scotomas and Discovery: The Lonely Crowd</td>
<td>387</td>
</tr>
<tr>
<td>16.18</td>
<td>Weakly Nonlocal Solitary Waves</td>
<td>389</td>
</tr>
<tr>
<td>16.18.1</td>
<td>Background</td>
<td>389</td>
</tr>
<tr>
<td>16.18.2</td>
<td>Initial Value Experiments</td>
<td>390</td>
</tr>
<tr>
<td>16.18.3</td>
<td>Nonlinear Eigenvalue Solutions</td>
<td>393</td>
</tr>
<tr>
<td>16.19</td>
<td>Tropical Instability Vortices</td>
<td>394</td>
</tr>
<tr>
<td>16.20</td>
<td>The Missing Soliton Problem</td>
<td>397</td>
</tr>
<tr>
<td>References</td>
<td></td>
<td>398</td>
</tr>
</tbody>
</table>

### 17 Nonlinear Wavepackets and Nonlinear Schroedinger Equation

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>17.1</td>
<td>The Nonlinear Schroedinger Equation for Weakly Nonlinear Wavepackets: Envelope Solitons, FPU Recurrence and Sideband Instability</td>
<td>405</td>
</tr>
<tr>
<td>17.2</td>
<td>Linear Wavepackets</td>
<td>406</td>
</tr>
<tr>
<td>17.2.1</td>
<td>Perturbation Parameters</td>
<td>408</td>
</tr>
<tr>
<td>17.3</td>
<td>Derivation of the NLS Equation from the KdV Equation</td>
<td>409</td>
</tr>
<tr>
<td>17.3.1</td>
<td>NLS Dilation Group Invariance</td>
<td>412</td>
</tr>
<tr>
<td>17.3.2</td>
<td>Defocusing</td>
<td>413</td>
</tr>
<tr>
<td>17.3.3</td>
<td>Focusing, Envelope Solitons and Resonance</td>
<td>414</td>
</tr>
<tr>
<td>17.3.4</td>
<td>Nonlinear Plane Wave</td>
<td>414</td>
</tr>
<tr>
<td>17.3.5</td>
<td>Envelope Solitary Wave</td>
<td>415</td>
</tr>
<tr>
<td>17.3.6</td>
<td>NLS Cnoidal and Dnoidal Waves</td>
<td>415</td>
</tr>
<tr>
<td>17.3.7</td>
<td>N-Soliton Solutions</td>
<td>417</td>
</tr>
<tr>
<td>17.3.8</td>
<td>Breathers</td>
<td>417</td>
</tr>
</tbody>
</table>