Contents

Part I  Introduction

1 Introduction .................................................. 3
   Johannes Paha

2 Competition Law Compliance Programmes: A Law and
   Economics Perspective .................................. 9
   Stefan Frübinger and Kai Hüschelrath

Part II  Compliance in Business and Economics

3 Results of a Survey in Germany, Austria, and Switzerland
   on How to Prevent Violations of Competition Laws .......... 37
   Georg Götz, Daniel Herold, and Johannes Paha

4 Reducing Antitrust Violations: Do Codes of Conduct
   and Compliance Training Make a Difference? ............... 59
   Peter Kotzian, Thomas Stöber, and Barbara E. Weißenberger

5 Compliance and Incentive Contracts ......................... 87
   Daniel Herold

6 Antitrust Compliance and Abusive Behaviour ............... 103
   Ulrich Schwalbe

Part III  Criminal Sanctions

7 Criminal Sanctions Against Corporations .................. 123
   Andreas Ransiek

8 Compliance and Individual Sanctions in the Enforcement of
   Competition Law ........................................... 135
   Florian Wagner-von Papp
Part IV  Fine Reductions

9  Can Compliance Programmes Contribute to Effective Antitrust Enforcement?  ........................................ 191
    Florence Thépot

10  Legal Incentives for Compliance Programmes: Stick or Carrot?  .  .  .  203
    Per Rummel

Part V  The Psychology of Compliance

11  Psychological Contributions to Competition Law Compliance  .  .  .  215
    Agnieszka Paruzel, Barbara Steinmann, Annika Nübold,
    Sonja K. Ötting, and Günter W. Maier