Mallet Deformity

Introduction

Mallet fingers are clearly far more complex to care for correctly than they appear. Patients often present to the clinic with a mallet finger, wondering why they suddenly cannot extend the tip of their finger after what appeared to be a trivial injury such as pulling up socks or tucking in a bed sheet ([1]; Fig. 2.1). Other patients describe a high-velocity sports or work impact on the finger, usually an axial load onto the fingertip or the dorsum of the fingertip [2]. Although mallet fingers may appear to be somewhat inconsequential, up to 25% of patients miss 6 weeks of work, sports, and even activities of daily living [3].

An eccentric axial load to the tip of the finger causing the distal interphalangeal (DIP) joint to forcefully hyperflex or hyperextend can disrupt the continuity of the insertion of the conjoined lateral bands onto the dorsal aspect of the distal phalanx. This avulsion may take the form of tendon avulsion off the dorsal lip of the proximal end of the distal phalanx or an intra-articular fracture of the dorsal lip may occur with the bony fragment still attached to the terminal extensor (Fig. 2.2). The dorsal fragment’s size and degree of articu-
lar involvement may vary from a fleck of bone to 70–80% of the joint surface. Any joint involvement greater than 50% is generally associated with volar subluxation of the DIP joint (Fig. 2.3).

With the flexor digitorum profundus (FDP) tendon unopposed, the tip of the finger will assume a flexed posture. Initially thought of as a “jammed finger” of minor importance, it is frequently ignored. The characteristically flexed posture of the distal phalanx is usually detected immediately but sometimes may only manifest after several weeks once the swelling has subsided. Patients that seek medical attention within 2–3 weeks of injury can usually be treated nonoperatively. Others may wait up to 3 or 4 months after the full extent of the disability become manifest such as DIP joint pain, erythema, skin breakdown, DIP flexion contracture, swan-neck deformity, and difficulty in navigating tight spaces such as a back pocket. While it is possible to commence nonoperative treatment, these may fail to correct the deformity and thought must be given to surgical correction [4].

Alternatively, there are patients who have undergone conservative treatment for 3–4 months only to find that the flexion deformity has persisted or recurred. In those patients, there have been weak or incomplete reattachment of the tendon end back to bone and the connection may be nothing more than a thin, transparent scar bridge with the tendon having retracted more than 3 mm ([5]; Fig. 2.4). This proximal retraction of the extensor hood causes increased tension on the central slip.
attachment on the middle phalanx causing proximal interphalangeal joint (PIP) hyperextension resulting in a secondary swan-neck deformity.

**Epidemiology**

It has been reported that the incidence of mallet finger injuries is 9.89/100,000. The peak age for the injury is young to middle-age men and older women with males outnumbering females by 3/1 [6]. Wehbe and Schneider in 1984 reported that 74% of these injuries occurred in dominant hands and 90% of these injuries occurred in the ulnar three digits [7]. Simpson et al. (2001), showed that mallet deformities accounted for 2% of all sporting injuries mainly rugby, football, and basketball [8].
Mechanism of Deformity

The terminal extensor tendon is a flat and thin structure measuring on the average 1.1 mm and inserts on the distal phalanx up to 1.2 mm distal to the joint margin and 1.4 mm proximal to the germinal nail matrix. The terminal extensor tendon is adherent to the underlying dorsal aspect of the DIP joint capsule. The terminal tendon is formed by the confluence of the radial and ulnar lateral bands. Just proximal to this confluence lies a thin membrane that runs transversely between the lateral bands called the triangular ligament. These prevent volar subluxation of the lateral bands. Injury to this ligament can result in the formation of a boutonniere deformity. The oblique retinacular ligaments (ORLs; Landsmeer) are thin fibers that run deep and volar to the lateral bands and they coordinate PIP and DIP flexion and extension. They originate from the lateral flexor sheath and form the outer margin of the terminal extensor tendon at the DIP joint.

The lateral bands are continuations of the interosseous and lumbrical tendons that run along the sides of the digit at the proximal phalanx. Dorsal subluxation of the lateral bands such as what is seen in swan-neck deformities is prevented by transverse retinacular ligaments that run from flexor tendon sheath dorsally to the volar rim of the lateral bands.

The central slip tendon is a continuation of the extensor digitorum communis to the finger and runs up the middle of the proximal phalanx. It connects to the lateral bands via the sagittal band fibers that run transversely and through oblique fibers that connect to the lateral bands distal to the PIP joint [5, 9] (Figs. 2.5a, b).

Schweitzer and Rayan found that the excursion of the terminal extensor tendon at the DIP joint was 1–2 mm when the joint was moved passively from full extension to full flexion [10]. They also found that PIP joint angle of flexion had significant influence on the degree of possible DIP joint flexion. If the PIP was flexed 90°, the maximal DIP flexion was 82°, whereas if the PIP was extended, the maximal DIP flexion possible was 51°. If the terminal tendon is sectioned via “Z” lengthening, it was found that 1 mm of lengthening allowed 25° of flexion. Two millimeters of lengthening allowed 36°. Three millimeters of lengthening allowed 49° and four millimeters of lengthening allowed 63° of flexion. They
also found variation between each of the palmar digits with the middle finger flexing the most for each millimeter of terminal tendon lengthening. They showed that if the cut tendon end retracted more than 1 mm proximally, it was extremely difficult to approximate the tendon end to its insertion. These data illustrate what occurs when the terminal extensor tears and retracts allowing the DIP to develop an extensor lag which persists even after the gap between tendon end and bony insertion is bridged by a scar and forms the basis of the conservative treatment of these injuries.

Classification and Diagnosis

If neglected, permanent fingertip disfigurement can result from mallet fingers, as well as dorsal DIP pain and inflammation, restricted DIP flexion, and swan-neck deformity. Prompt treatment of the injury can alleviate the risk of a scar bridge laxity of 1 mm between the terminal extensor tendon and the distal phalanx, which in turn leads to a 25° extensor lag.

To date, there have been no classification systems that refer to mallet deformities that involve tendon avulsions only. The existing ones either describe mallet “fractures” or mixed bony and tendinous injuries together ([7, 11]; see Tables 2.1 and 2.2).

Clinical Evaluation

After obtaining a history of a crush, axial load, or even a trivial fingertip “stub” on a bed sheet or a carpet surface, one observes the resting posture of the DIP and PIP joints. The extensor lag and whether it is passively correctable should be noted as well as any hyperextension of the PIP joint. The dorsal skin integrity should be checked for lacerations, abrasions, erythema, and tenderness (Figs. 2.6a, b). Though the injury occurs at impact, the deformity may not manifest for several days [12]. This may be due to the fact that the initial injury to the tendon may have been incomplete but with repeated stress the remaining insertion may attenuate or avulse from the distal phalanx.

X-ray assessment should include AP, lateral, and oblique views and the DIP should be evaluated for fracture, displacement of fragments, volar subluxation of the distal phalanx, and DIP joint congruity.

Table 2.2  Doyle’s classification of mallet finger injuries [11]

<table>
<thead>
<tr>
<th>Type</th>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>Type 1</td>
<td>Closed or blunt trauma with loss of tendon continuity with or without a small avulsion fracture</td>
</tr>
<tr>
<td>Type 2</td>
<td>Laceration at or proximal to the distal interphalangeal joint with loss of tendon continuity</td>
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<tr>
<td>Type 3</td>
<td>Deep abrasion with loss of skin, subcutaneous cover and tendon substance</td>
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<tr>
<td>Type 4A</td>
<td>Transphyseal fracture on children</td>
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<tr>
<td>Type 4B</td>
<td>Hyperflexion injury with fracture of the articular surface of 20–50%</td>
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<tr>
<td>Type 4C</td>
<td>Hyperextension injury with fracture of the articular surface greater than 50% with early or late volar subluxation of the distal phalanx</td>
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Nonoperative Treatment of Mallet Deformity

The goal of all closed treatment of mallet deformity whether purely tendinous or with a bony avulsion is the apposition and reattachment of the terminal extensor to the distal phalanx allowing full extension and correction of the flexion deformity. In pure tendon avulsions, this needs to be accomplished without the development of DIP flexion contracture, extensor lag from gapping between the tendon end and its insertion, and adhesions between the tendon end and the dorsal capsule of the DIP joint preventing active or passive DIP flexion. One must achieve a delicate balance between sufficient immobilization in extension and allowing careful active flexion
to achieve gliding between the extensor tendon and joint capsule. If the immobilization period is too short, tendon rupture will occur [13].

There is some controversy whether only the DIP joint should be immobilized in extension or should DIP and PIP joints be splinted, the latter in flexion thus relaxing the terminal extensor hood and ORL [14]. Katzman in 1999 in a cadaveric study repeatedly flexed and extended the PIP and found no increased tension on a created gap at the DIP insertion. Thus, the only DIP extension needed was to preserve apposition of the extensor tendon to its insertion [15]. Others feel that it is necessary to keep the PIP flexed to allow the distal extensor mechanism to be “pulled” distally and thus relax the insertion site [16]. DIP extension alone is the more commonly used conservative approach. Typically, full-time splinting for 6 weeks followed by 2–6 weeks of part-time splinting usually at night or for strenuous activities has been accepted as the standard protocol for nonoperative treatment of mallet fingers [8].

Review of the Literature

Mason reported the first treatment of mallet finger in 1930. He advocated for quick surgical intervention of closed mallet fingers [17]. Similarly, Smillie designed a plaster splint to hold the DIP in hyperextension with the PIP joint in flexion [18]. Hallberg et al. in 1960 reported on 127 patients with mallet finger treated in a plaster cast and noted that half the patients had a poor result with a residual deformity of >20° [19]. In 1962, the Stack splint (Fig. 2.7e) was devised, which made conservative treatment of mallet fingers more popular [20]. In 1975, Pulvertaft in an address to the British hand society said that: “60% of mallet fingers had satisfactory results after splinting and that a further 20% would improve sufficiently in the course of time” [21]. Seven years later, Auchincloss compared external splinting to surgical care for acute closed mallet fingers in 41 patients [22]. He found no difference in outcome and further opined that there was no need to splint at all after a period of 6 weeks.

Today, the overall opinion in the field is that that initial treatment of closed mallet fingers should be nonoperative, in a full-time splint that keeps the DIP joint in mild hyperextension (<10°) for 6–8 weeks, followed by a 4-week period of part-time nocturnal splinting (Fig. 2.7). Evans et al. wrote that at the end of 6 weeks during the first week of immobilization, no more than 20–25° of flexion should be allowed [16]. A flexion-blocking splint would be helpful at this
point. If no extensor lag develops, 35° of flexion can be permitted the following week. Patients can be allowed to flex the DIP 10–20 times, several times daily, until full flexion is allowed. If an extensor lag develops, splint again in full extension for 2 weeks then begin the process again.

Patients need to be told that conservative treatment may leave them with a residual flexion deformity of 5–10°, a mild loss of DIP flexion and the presence of a dorsal “bump” (Fig. 2.8). A second 6-week round of splinting can be tried. In the past, some advocated splinting as late as 3 months post presentation [23].

However, there is controversy about the best way to splint. Most of the techniques reported used the following Crawford’s criteria to assess functional outcome of treatment [24]:

- **Excellent:** Full DIP extension, full flexion, no pain
- **Good:** 0–10° of extension deficit, full flexion, no pain
- **Fair:** 10–25° of extension deficit, any flexion loss, no pain
- **Poor:** >25° of extension deficit or persistent pain

**Abouna and Brown’s criteria [12]**

- **Success:** Extensor lag <5°, no stiffness, normal flexion, and extension
- **Improved:** Extension loss 6–15°, no stiffness, normal flexion
- **Failure:** Extension loss >15°, DIP stiffness, impaired flexion

In 1997, Okafor et al. documented of 31 patients treated in a thermoplastic “Stack” splint for an average of 7.2 weeks [25]. They were followed for a mean of 5 years with a delay in treatment from 0 to 28 days. Of the 29 patients without fracture, or fractures less than 30% of the joint surface, the delay in treatment had no significant effect on the outcome with regard to DIP motion or extension deficit. However, at follow-up at 6
months, 35% of the patients had and extensor lag of >10° and had a flexor range of 48°. Also, 35% of those studied were mallet fractures and of those, half had degenerative changes at the DIP joint. In this series, swan-neck deformity developed far more commonly in mallet fractures than pure tendinous injuries. However, in this series, 90% were satisfied with their results and 68% noted no functional impairment in the finger. There was, however, a greater impairment of DIP joint flexion in patients with osteoarthritis (OA) though the presence of OA had no effect on extensor lag.

Garberman’s study showed that with similar splinting regimens, there was no difference between early (<2 weeks) and late presentation (>4 weeks) in terms of final outcome. Both groups had <10° extensor lag at final follow-up at 2–6 months [26]. There was, however, a significant positive correlation between the length of splinting and final extension deficit. Patients with an intra-articular fracture had a higher incidence of degenerative changes on X-ray than those who were purely tendinous mallets. There were no complications reported in this series.

Kinninmouth and Holburn in a randomized prospective study of 54 patients compared a custom molded perforated splint to a stack splint (Fig. 2.7). Perforated splints were better tolerated and had better results according to Crawford’s criteria with less extension lag [27].

Tocco et al. in 2013 compared cast immobilization to a removable DIP orthosis (Fig. 2.7) in 57 patients where patients were given detailed instructions in home self-care with close monitoring for compliance [28]. Follow-up was at 28 weeks. Those patients treated in a cast had an average extensor lag of 5°, whereas the orthotic group had a 9° lag. One of the factors observed was edema of the fingertip at final follow-up. Those that were edematous had a poorer outcome than those that were not. This could be due to diminished arterial, venous, and lymphatic flow to the edematous fingertip [29]. Casting allowed constant compression on the fingertip and not surprisingly had diminished edema than the other group. Colditz attributed “edema reduction” to be one of the salutary effects of plaster casting of limbs [30]. There was no difference in active flexion between the two groups at follow-up. At 6 months, there was no difference between the two groups and both had a 5° extensor lag at follow-up. Other variables such as time from injury to treatment, mallet type, or injury mechanism had no effect on the final outcome in this study.

Warren and Norris followed 116 patients, randomized to wear either a “Stack” or “Abouna” wire splint [31]. At 10 weeks, both splints were shown to be equally effective with either near or complete resolution of the mallet. Patients preferred the “Stack” splint, reporting that it was more comfortable. In a randomized trial with 60 patients, Maitra and Dorani compared the “Stack” splint with padded aluminum (Fig. 2.7) and found that, while both splints were equally effective in correcting the extensor lag, the aluminum splint was more comfortable and had less dorsal skin maceration than the “Stack” [3]. Skin maceration can be prevented by pre-wrapping the DIP joint in gauze or by padding the splint in moleskin. In 2010, Pike and Mulpuri in a prospective randomized double-blinded study investigated the following three splint types with 87 patients [32]:

- Volar-padded aluminum splint
- Dorsal-padded aluminum splint
- Custom thermoplastic splint applied to the volar side

All splint types had the DIP in “slight” hyperextension and the PIP joint free. The splints were applied full time for 6 weeks and, if there was a lag of >20°, to apply for an additional 4 weeks. The single, major complication was full-thickness skin ulceration from dorsal skin pressure. Additionally, there were several, minor complications with maceration and erythema. An extension lag of 5–10° in all groups was reported at follow-ups of 7, 12, and 24 weeks, but there was no statistical significance between the groups at 12 weeks.

In another randomized, controlled trial, O’Brien and Bailey compared the dorsal aluminum splints, custom circumferential thermoplastic splints, and “Stack” splints, which were followed up at 12 and 20 weeks [33]. Finger braces were worn continuously for 8 weeks. Patients
wearing stack splints and aluminum splints (5/21 each) experienced skin maceration, problems with fit, pain, and splint breakage. The group that wore thermoplastic splints (22 patients) had no complications. All patients experienced excellent results by Crawford’s criteria extensor lag of 6.4° and a flexion range between 59 and 64°, and there was no difference between the groups at final outcome at 20 weeks.

Additionally, Handoll and Voghella conducted a meta-analysis on splint treatment and concluded that there was “insufficient evidence to determine which splint type is best but that the splint must be stout enough to withstand everyday use [2].” There has been some discussion in the literature about the vascularity to the dorsal skin over the DIP joint relevant to blanching of that skin when the DIP is hyperextended and to potential pressure from a dorsally applied splint. Flint in 1955 described the vascularity of the dorsal skin of the DIP joint. The blood supply to the dorsal skin arises from dorsal branches arising from the volar digital arteries [34]. These dorsal terminal vessels form an arcade where the branches join. Hyperextension of the DIP joint causes blanching by stretching the volar arteries and compression of the dorsal arcades (Fig. 2.9a) by the buckling of the overlying skin (Fig. 2.9b). Rayan and Mul- lins in 1987 showed that skin blanching occurred when the DIP joint was hyperextended to 50% of maximum in healthy volunteers, the average normal hyperextension being 28.3° [35]. That blanching can be reproduced when a tight dorsal splint is applied.

The state of vascularity of the extensor attachment and possible healing potential may explain the apparent direct relationship between age and extensor lag seen by Pike and coauthors where patients older than 60 years had a distinctly poorer outcome than younger patients [32].

In light of the above, it is clear that when a removable thermoplastic splint is applied, it needs to be removed frequently for skin checks and cleaning at home but great care must be exercised not to allow the finger to flex during this period. Noncompliance with this program will result in failure of splinting. It is advised that the fingertip rest on a flat surface or pinch tip to tip with the thumb to prevent DIP flexion. Compliance rates have been reported to range from 50 to 70% in closely monitored studies. While no splint type has been shown to be more efficacious than another, compliance with splinting regimens appeared to be greater with custom thermoplastic splints than AlumaFoam or stack splints [36]. The most important factor in success of splint treatment is patient compliance with the regimen.

**Surgical Indications**

There is a whole subset of patients that cannot be treated by splinting alone. They include patients who cannot tolerate splinting either because of
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repeated skin breakdown, recurrent loosening, or claustrophobia despite the type of splint chosen. Other groups such as surgeons, dentists, musicians, or competitive swimmers cannot afford to take off from their activity for 8 weeks and may opt for a percutaneous pin placed across the DIP joint in full extension and buried under the skin at the distal end of the finger. It acts as an internal splint and allows much earlier return to work activity but is prone to breakage and pin tract infection if misused. Thus, patient selection for this treatment must be made with care [37].

**Open Mallet Fingers**

There is little controversy in the literature about the treatment of lacerations and open avulsions at the terminus of the extensor mechanism. Zone 1 lacerations involving the terminal extensor should be directly repaired either to a terminal tendon stump with locking mattress suture which can include the skin or directly to the distal phalanx using a volar pull-out button or suture anchors [38]. Deep abrasions and skin/tendon avulsions should be first debrided and should be considered for secondary tendon repair after the wound has been rendered clean and there is adequate skin coverage. In this scenario, tendon grafting may be necessary to reestablish continuity. Postoperative regimen is similar to closed mallet fingers [39].

**Complications of Treatment of Mallet Fingers**

**Chronic Mallet Fingers**

In 2011, Makhlouf and Al-Deek reviewed the treatment of chronic mallet fingers in 11 papers. Mallet fingers are generally considered “chronic” when significant deformity (>20°) exits after 12–16 weeks of closed treatment [40].

There have been ten methods of treatment in the literature:

1. Excision of scar and tenorrhaphy
2. Reattachment of the tendon back to the bone
3. Imbrication of the healed tendon (Fig. 2.10)
4. Tenodermodesis (Fig. 2.11)
5. Fowler central slip tenotomy
6. Central slip tenotomy with distal repair (Figs. 2.10 and 2.12)
7. Spiral oblique retinacular ligament reconstruction (SORL)
8. Arthrodesis
9. Tendon–bone graft
10. Bridge tendon graft inserted into a bone tunnel into the distal phalanx
Makhlouf tabulated the results of these treatments and showed the following: In the European literature, unless there is some hyperextension of the PIP joint, only the DIP joint is addressed [40]. The most frequently reported method is excising the tendon scar bridge and reattaching the tendon back to bone with an 80–100% good to excellent result. In the US literature, Fowler releases are favored but not for extension deficits > 35° [39]. If there is a significant swan-neck deformity, a SORL reconstruction is favored. They also feel that poor results are directly related to:

1. Delay in treatment > 4 weeks
2. > 50° extensor lag
3. Short thick fingers
4. > 60-years-old
5. Poor compliance

Fowler’s Central Slip Tenotomy (Fig. 2.12)

One of the simplest techniques for correction of chronic flexible tendinous mallet deformities is the central slip tenotomy. Described in 1949 by Fowler, the method is predicated on the concept that in the chronic phase of mallet deformity a scar bridge is developed between the tendon end and the distal phalanx [39]. A bridge that is a mere 3 mm too long has been shown by Schweitzer and Rayan and others can lead to a 45° extensor lag of the DIP [10]. The concept of the central slip tenotomy lies in the fact that the force exerted by the central extrinsic tendon in the finger is dissipated by its insertion at the base of the middle phalanx. There is not enough excursion in the lateral bands and ORL to extend the DIP. Sectioning the central slip allows the whole extensor apparatus to migrate 2–3 mm proximally, thus facilitating DIP extension.

It is also seen that sectioning the central slip may lessen the hyperextension moment in the PIP that can lead to secondary swan-neck deformity often observed in chronic mallet fingers.

Fowler’s central slip tenotomy is a reliable technique for reducing the degree of flexion deformity at the DIP joint but has generally not been advocated in deformities greater than 36° [39]. There have been concerns about aggressive tenotomy with subsequent injury to the triangular ligament creating a secondary extensor lag at the PIP joint and even frank boutonniere deformity. Classic papers on central tenotomy by Bowers, Hurst, and others have advocated a large mid-lateral approach, sectioning the transverse retinacular ligament lifting the extensor mechanism and releasing the central slip from below [41]. More recently, a limited approach utilizing a simple dorsal transverse incision 5 mm proximal to the PIP have been advocated [41].

Grundberg et al. reported a series of 20 patients who had their chronic mallet deformities treated with central slip tenotomies saw their extensor lag go from a pre-op average of 37–9° at final follow-up [4].

Chao and Sarwahi demonstrated in an experimental model that after sectioning the terminal extensor and creating a lengthening of about 3 mm, an extensor lag of 45° was created [42]. When a central slip tenotomy was performed in their specimens, they noted an immediate correction of 37–9°. Based on Schweitzer, that would be representing a proximal migration of 2 mm [10]. They concluded that correction greater than 36° was not possible utilizing this technique, although older clinical studies noted corrections of up to 60°. This could be due in part to remodeling of the terminal tendon. There may be no apparent correction immediately in the OR under anesthesia but correction of the DIP extensor lag can continue to improve at 1 year, something that
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