Chapter 2
Analog Signals Conditioning and Discretization

2.1 Introduction

In control systems, it is necessary to observe the work of the controlled object. It is usually achieved in power electronics systems by measuring currents and voltages [7, 68]. This chapter is devoted to the problems of processing the analog current and voltage signals to convert them into digital form in power electronics systems. The problems discussed in this chapter, the author believes, are essential for the proper operation of control systems.

2.2 Analog Input

Typical circuits of analog inputs for measuring voltages and currents are shown in Fig. 2.1. In the voltage measurement circuit (Fig. 2.1a), voltage from the voltage divider \((R_1, R_2)\) goes through the amplifier input and the anti-aliasing filter to the sample and hold circuit (SH) and analog to digital converter (A/D). Finally, digital signal \(x_1(nT_s)\) corresponding to the measured voltage is sent to the processor control system. A similar process takes place in the current measurement circuit (Fig. 2.1b), where instead of a voltage divider there is applied a current transducer. Current measurement issues are discussed in Sect. 2.3.

2.2.1 Galvanic Isolation

In low-power electronics systems, it is possible to use measurement systems electrically coupled with the control system. This is often the cheapest and best option, especially if accuracy is taken into account. However, usually in high-power systems galvanic isolation in measurements is required. It should be noted that the
application of galvanic isolation always deteriorates the quality of the signal, but it is often necessary. Reasons for the use of galvanic isolation include:

- break ground loop,
- high common voltage,
- safety reasons,
- increased noise immunity.

### 2.2.2 Common Mode Voltage

Measurements in power electronics systems are very difficult due to a very high slew rate of common mode voltage. The common mode voltage swings of several hundred volts in tens of nanoseconds are common in modern switching inverters. The isolation amplifier should be designed to ignore very high common-mode transient slew rates (of at least 10–25 kV/µs).

Figure 2.2 depicts an isolation amplifier connected to one leg of a power inverter. During the operation of the inverter, the potential of point A voltage changes from $-500$ to $500$ V in a time equal to $100$ ns. The slew rate of voltage change can be determined by the equation

$$SR = \frac{du(t)}{dt} \approx \frac{\Delta U}{\Delta t}.$$  \hspace{1cm} (2.1)

Capacitor $C_1$ represents the resultant common mode (parasitic) capacitance on the signal path, capacitor $C_2$ represents the resultant common mode (parasitic) capacitance in the DC/DC converter. The circuit of an isolation amplifier for a common mode (parasitic) current calculation can be simplified to the circuit shown in Fig. 2.3. Thus, the value of parasitic current can be calculated by equation
\[ i_{CM}(t) = C \frac{du_{CM}(t)}{dt} \approx C \frac{\Delta U_{CM}}{\Delta t}, \] (2.2)

where: \( C \) — resultant common mode (parasitic) capacitance.

As an example, for \( SR = 10 \text{kV/\mu s} \) and \( C = 10 \text{pF} \) common mode current the value is 10 mA. It is too a high value especially for sensitive electronics input circuits, such as optoelectronic devices. Therefore, in optoelectronic circuits transparent to light, an electric shields are used. For example, Avago’s optoelectronic circuits (former Hewlett Packard) are an industry reference solution [8, 9]. Also, the printed circuit board and connections should be designed to minimize the parasitic capacity.

### 2.2.3 Isolation Amplifiers

Topologies of isolation amplifiers are shown in Fig. 2.4. A topology with analog isolation amplifier is depicted in Fig. 2.4a.
Fig. 2.4  Signal isolation technique topologies: a analog solution, b pulse solution, c digital, d digital with DSP, e digital with DSP and analog output
This solution uses optical separation or magnetic. In this solution, optical separation with linearization is often used. The advantage of such a system is the small signal delay. A typical example of this solution is the integrated circuit (IC), IL300 (Fig. 2.5) from Vishay [65]. The IC consists of an LED $D_1$ irradiating an isolated feedback photodiode and an output photodiode $D_3$ in a bifurcated arrangement. The feedback photodiode $D_2$ captures a part of the LEDs flux and generates a control signal $i_p(t)$ that can be used to servo the LED drive current $i_F(t)$. This technique couples AC and DC signals and compensates for the LED’s nonlinear, time, and temperature characteristics. The IC achieves the following parameters: 0.01% servo linearity, wide bandwidth, $>200$ kHz, high gain stability, 0.005%$/^\circ$C typically. The time and temperature stability of the coupler transfer function is insured by using matched PIN photodiodes $D_2$ and $D_3$ that accurately track the output flux of the LED.

In alternative solutions the transformer is used, which allows the transfer of AC and DC signals by adding the Hall sensor. Primary circuits are supplied by an isolated DC/DC converter.

The most commonly used topology of the insulation circuit is shown in Fig. 2.4b. In this system, an isolation pulse amplifier is applied. It consists of an input modulator, pulse signal isolator, and demodulator. Such systems give high accuracy at a low price. In typical applications, the modulation frequency is in the range of 10 kHz–1 MHz. The use of modulation prolongs the typical impulse response time and causes the occurrence of modulation components in the output signal. In applications with transformer isolation, the transformer can also be used to transfer energy to supply the primary side circuits.

Examples of industrial integrated circuits include: isolation amplifier with capacitor isolation ISO124 [57, 59], classical isolation amplifier with transformer isolation AD215 [4], and isolation amplifier with optical isolation barrier HCPL-7800 [9].

An interesting solution has been applied in the ISO121, through the use of precision isolation amplifiers with duty cycle modulation–demodulation technique. The signal is transmitted digitally across a $2 \times 1$ pF differential capacitive barrier. Simplified block diagram of ISO121 is shown in Fig. 2.6. The IC achieves the following parameters: 0.01% max nonlinearity, bandwidth, 6 kHz, high gain stability.
and 150μV%/°C max offset voltage drift. The IC, shown in Fig. 2.7, is built into a ceramic barrier, where modulator and demodulator are placed at the ends and two 1 pF matched barrier capacitors are placed in the middle. This IC has excellent reliability. In the next solution, the A/D converter was moved to the primary side. Hence, signal to the secondary side is transmitted in digital form (Fig. 2.4c). Such a solution allows the elimination of errors introduced by the insulation system. But in the case of multi-channel systems, it is difficult to synchronize the sampling moments. Optically isolated sigma delta modulator HCPL-7860 [8] with digital output is the simplest example of such a solution.

In the next circuit (Fig. 2.4d), there is added a DSP to allow local measurement error correction and additional algorithms. In another topology (Fig. 2.4e), a digital isolation transducer is used. In this design, the input signal is converted into digital form and in this form it is sent to the secondary side, then it is converted into analog form. In this configuration, as in the previous one, it is possible to correct an error. The disadvantages of this system are the high price and the double signal conversion causing signal delay. Comparison and summary of the galvanic isolation techniques are presented in Table 2.1.
<table>
<thead>
<tr>
<th>Signal isolation technique</th>
<th>Accuracy</th>
<th>Delay</th>
<th>Power consumption</th>
<th>Relative cost</th>
<th>Typical signal ranges</th>
</tr>
</thead>
<tbody>
<tr>
<td>Analog, optoelectronic isolation</td>
<td>0.5–5%, high nonlinearity and temperature drift</td>
<td>Low</td>
<td>Low</td>
<td>Low</td>
<td>DC to 200 kHz</td>
</tr>
<tr>
<td>Analog with transformer isolation</td>
<td>0.5–5%</td>
<td>Low</td>
<td>Low</td>
<td>Low</td>
<td>AC, 50–200 kHz</td>
</tr>
<tr>
<td>Pulse, optoelectronic isolation</td>
<td>0.01–0.5%</td>
<td>Moderate</td>
<td>Low</td>
<td>Low</td>
<td>DC to 100 kHz, output with signal containing residuals of the modulation component</td>
</tr>
<tr>
<td>Pulse with transformer isolation</td>
<td>0.01–0.5%</td>
<td>Moderate</td>
<td>Moderate</td>
<td>Low</td>
<td>DC to 300 kHz, output with signal containing residuals of the modulation component</td>
</tr>
<tr>
<td>Pulse with capacitive isolation</td>
<td>0.01–0.5%</td>
<td>Moderate</td>
<td>Moderate</td>
<td>Low</td>
<td>DC to 300 kHz, output with signal containing residuals of the modulation component</td>
</tr>
<tr>
<td>With A/D converter on primary side</td>
<td>Depending on the A/D converter, possible error correction</td>
<td>Low</td>
<td>Moderate-High</td>
<td>Moderate</td>
<td>DC to 100 kHz, difficult realization of simultaneous sampling</td>
</tr>
<tr>
<td>With A/D converter and DSP on primary side</td>
<td>Depending on the A/D converter</td>
<td>Moderate</td>
<td>High</td>
<td>High</td>
<td>DC to 100 kHz, difficult realization of simultaneous sampling</td>
</tr>
<tr>
<td>Digital transducer on primary side with analog output</td>
<td>Depending on the A/D and D/A converters, possible error correction</td>
<td>High</td>
<td>High</td>
<td>High</td>
<td>DC to 50 kHz, double signal conversion, difficult realization of simultaneous sampling</td>
</tr>
</tbody>
</table>
2.3 Current Measurements

Current sensors are often used to provide essential information to power electronic control systems. Current transducers convert measured current into a proportional AC or DC voltage or milliamp signal. These devices should have extremely low insertion impedance. There are also current transducers with digital output and in the author’s opinion they will in future play an increasing role in current measurement systems. There are several techniques that are typically used for measuring currents: sense resistor (resistive shunt), current transformer, current transformer with Hall effect sensor, and current transformer with magnetic modulation and air coil.

2.3.1 A Resistive Shunt

A sense resistor is inserted in series with the load. Through Ohm’s law, \( U = IR \), we know the voltage drop across the resistor is proportional to the current. This system is very simple and provides very accurate measurements, given that the resistance value has a tight tolerance. To maintain low dissipation power voltage across sense resistors, should be very low, therefore they also require a high-quality amplifier, such as instrumentation amplifiers, to generate an exact signal. This kind of measurement does not provide galvanic isolation, so in some applications an isolation amplifier needs to be used. For larger currents, sense resistors with high performance thermal packages have been used. In the last few years, there has been extensive development of portable battery-powered electronic devices, and as a result a high demand for current measurement systems has been created. It is a typical way of measuring battery currents. Thus, there has arisen a great demand for simple and inexpensive measurement systems. Figure 2.8 shows a measurement system with an amplifier with a unique high common mode ratio to allow for work above the positive supply voltage \((U_{supp})\) and under the negative supply voltage of amplifier. Common mode voltage of such amplifiers achieves \(-20–80\) V. A typical voltage value \(U_2\) is several hundred millivolts. Many manufacturers produce such circuits, for example: integrated circuit INA270 from Texas Instruments [61], AD8210 from Analog Devices [5] etc. Due to the voltage range, this kind of amplifier is also widely used in automotive applications.

![Fig. 2.8 Current measurement with high common mode amplifier](image-url)
2.3 Current Measurements

For current sensing in power electronics circuits, the HCPL-7800 family isolation amplifier was designed [9]. The HCPL-7800 utilizes delta sigma modulator converter technology, chopper stabilized amplifiers, and a fully differential circuit topology. Figure 2.9 depicts a simplified diagram of a current measurement circuit. In a typical implementation, currents flow through an external resistor and the resulting analog voltage drop is sensed by the HCPL-7800. The HCPL-7800 input voltage range is equal to \( \pm 200 \text{ mV} \). A differential output voltage is created on the other side of the HCPL-7800 optical isolation barrier. This differential output voltage is proportional to the input current and can be converted into a single-ended signal by using an operational amplifier as shown in Fig. 2.9. The HCPL-7800 was designed to ignore very high common-mode transient slew rates (of at least 10 kV/\( \mu \text{s} \)).

\[ I_s = \frac{z_p}{z_s} I_p. \]  

\[ 2.3 \]

The current transformer is shown in Fig. 2.10. The secondary current is then sensed through a sense resistor \( R_b \) to convert the output into a voltage \( U_2 \).
For a real transformer this equation is more complicated. Figure 2.11 shows a simplified version of a current transformer low-frequency circuit. This model is called the high side equivalent circuit model, because all parameters have been moved to the primary side of the ideal transformer. In this circuit: $L_l$—resultant windings leakage inductance, $R_{cu}$—resultant windings resistance, $R_{fe}$—resistance which represents power losses in transformer core (mainly due to hysteresis), $L_u$—main transformer inductance, magnetizing inductance.

Hence, for this transformer model, secondary current should be calculated by the equation

$$I_s = \frac{z_p}{z_s} (I_1 - I_u).$$

Magnetizing current $I_u$ will give an error in the current transformation of the secondary side. In order to reduce the error, voltage at the output should be very small. Another way to decrease magnetizing current is by increasing the core size. Figure 2.12 shows a circuit which minimizes current transformer output voltage and hence reduces current error.

The presented current transformer model has sufficient accuracy only for low frequency use, while for high frequency use it has to be more complicated for example in [12]. Current transformers are one of the simplest and relatively cheap solutions for current measurements but they have one major disadvantage in that they cannot transform DC. Therefore, in applications that require measurements of the DC current, other techniques should be used.
2.3 Current Measurements

Fig. 2.12 Current converter with minimized current transformer output voltage

![Current converter diagram](image)

Fig. 2.13 Open loop Hall effect current sensor

![Open loop Hall effect sensor diagram](image)

2.3.3 Transformer with Hall Sensor

A simplified diagram of an open loop Hall effect current sensor is depicted in Fig. 2.13. The sensor measures DC, AC, and complex current waveforms while providing galvanic isolation. The Hall effect current sensor consists of three basic components: the magnetic core, the Hall effect sensor, and signal conditioning circuitry. The Hall sensor is located in the magnetic core gap. The magnetic flux created by the primary current $I_1$ is concentrated in a magnetic circuit and measured in the air gap using a Hall sensor.

$$U_H = \frac{k}{d} I_C B + U_{off}. \quad (2.5)$$

where: $k$—the Hall constant of the conducting material, $d$—the thickness of the sensor, $I_C$—constant current, $B$—magnetic flux density, and $U_{off}$ is the offset voltage of the Hall generator in the absence of an external field. Such an arrangement is referred to as a Hall generator and the product $k/dI_C$ is generally described as the Hall generator sensitivity. The output signal from the Hall device is then conditioned to provide an exact representation of the primary current at the output. The relation between primary current and the magnetic flux density, $B$, is nonlinear. Therefore, a magnetic core in the linear region is used. Within the linear region of the hysteresis loop of the material used for the magnetic circuit, the magnetic flux density, $B$, is proportional to the primary current, $I_1$, and the Hall voltage, $U_H$, is proportional to the flux density. Therefore, the output of the Hall generator is proportional to the primary current, plus the Hall offset voltage, $U_{off}$. The advantages of open loop
transducers include: low cost, small size, low weight, low power consumption, and very low insertion power losses. The accuracy is limited by the combination of:

- DC offset at zero current (hall generator, electronics, or remanent magnetization (remanence) of core ferromagnetic material)
- gain error (current source, hall generator, and core gap)
- linearity (core material, hall generator, and electronics)
- big influence of temperature changes
- output noise
- bandwidth limitation (attenuation, phase shift, and current frequency).

This type of sensor is widely used, and among the producers are: LEM Components, ABB, Honeywell, Allegro Microsystems, ChenYoung, etc. Especially noteworthy is Allegro’s sensor, which is constructed in the form of a monolithic integrated circuit with flux concentrator [2, 3, 19]. The idea of these sensors is depicted in Fig. 2.14.

An example is the fully integrated, Hall effect-based linear current sensor IC ACS752SCA-100 from Allegro MicroSystems [2], with 3 kV\text{RMS} voltage isolation and a low-resistance current conductor (130\,\mu\Omega) and single +5 V supply on the secondary side. The current sensor is shown in Fig. 2.15, on the right side are two primary current terminals. The sensor has a primary sensed current range from −100 to +100 A, this current is converted into the output voltage signal with a sensitivity of 20 mV/A.

To reduce magnetic core and Hall sensor errors a closed loop topology was introduced. Simplified diagram of a closed loop Hall effect current sensor is depicted in Fig. 2.16. In a closed loop topology, the Hall sensor drives the output amplifier current to a secondary coil, which will generate a magnetic flux to cancel the primary current magnetic flux. So the resultant flux should be equal to zero. The secondary current, which is proportional to the primary current by the secondary coil ratio, can then be measured as voltage across a sense resistor. By keeping the resultant flux in the core at zero, the errors associated with offset drift, sensitivity drift, and saturation...
of the magnetic core will also be significantly decreased. Closed loop Hall effect current sensors also provide the shortest response times. However, in such devices, the nominal secondary coil current from several milliamps to hundreds of milliamps, thus power consumption is much higher in closed loop Hall sensor devices than in open loop topologies. In the closed loop configuration, the maximum current magnitude is limited by a finite amount of compensation current in the device. The closed loop topology is widely used, for example Lem, ABB, and this type of transducer is widespread in industrial applications, with many manufacturers now supplying it. Good examples are the above-mentioned typical industrial current transducers: LA 55-P [33] from LEM Components, ESM1000 from ABB [1], CSNB121 from Honeywell [27], CYHCS-SH from ChenYoung [18], etc. Figure 2.17 shows closed loop Hall effect current sensors LA 205 [34] mounted on the current rail in APF EFA1 [56].
2.3.4 Current Transformer with Magnetic Modulation

Certain power electronics applications such as: medical equipment, metering, or accessories for measuring equipment, require a precision current transducer. In order to eliminate the shortcomings associated with Hall effect, sensors are also developed with magnetic modulation topologies allowing the measurement of a DC component. These topologies have one, two, or three magnetic cores; for example, the high precision current transducer ITB 300-S from LEM Components [50]. Features of current transformers with magnetic modulation include:

- high global accuracy,
- high linearity < 1 ppm,
- high temperature stability,
- low cross-over distortion,
- wide frequency range,
- low noise on the output signal.

2.3.5 Current Transducer with Air Coil

A Rogowski coil allows the measurement of alternating current (AC). The principle of Rogowski coil operation is well described by Ray and Davis in [46–48]. The Rogowski coil measurement circuit is depicted in Fig. 2.18. It consists of a helical coil of wire wrapped around a straight conductor whose current \( i(t) \) is to be measured, since the voltage that is induced in the coil is proportional to the rate of change (derivative) of current in the straight conductor. The coil output signal is connected
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Fig. 2.18 Rogowski coil measurement circuit

to an integrator circuit, so that the achieved output signal that is proportional to the current. The voltage induced in the coil is given by the equation

\[ u_s(t) = \mu_0 N M \frac{di(t)}{dt} = S \frac{di(t)}{dt}, \tag{2.6} \]

where: \( \mu_0 \)—permeability of free space, \( N \)—turns/meter, \( M \)—cross-sectional area \([\text{m}^2]\), \( S \)—coil sensitivity \([\text{Vs/A}]\). In next the stage the coil voltage is integrated by the integrator, so that transducer output voltage is

\[ u_2(t) = -\frac{1}{RC} \int u_s(t) dt = -S_T i(t), \tag{2.7} \]

where: \( S_T \)—transducer sensitivity \([\text{V/A}]\). Rogowski coil features are:

- no magnetic saturation,
- high overload capacity,
- good linearity,
- light weight,
- low thermal losses,
- AC measurement with wide dynamic range.

Figure 2.19 shows Rogowski coil and integrator in the laboratory.

The free end is normally inserted into a socket adjacent to the cable connection but can be unplugged to enable the coil to be looped around the conductor or device carrying the current to be measured.

Application of an induction coil without magnetic core allows for the elimination of errors associated with nonlinear magnetic material. But the lack of a magnetic field concentrator causes the measuring system to be very sensitive to external interference fields. The use of two inductive coils allows the partial elimination of this phenomenon [32]. The induced voltages from coils are then integrated in order to obtain both amplitude and phase information for the measured current. In this solution, in comparison to the Rogowski coil, accuracy is independent of the position of the cable in the aperture and of external fields.
2.3.6 Comparison of Current Sensing Techniques

Table 2.2 presents the basic features of current transducers discussed in this chapter. The discussed transducers have analog outputs, but it should be noted that currently the development of transducers with digital output is underway. They can be equipped with their own processors, allowing for compensation of some errors. Parallel to an industrial solution of current sensing techniques Hartman et al. [25] designed an alternative which consists of a wideband current transformer and a demagnetizing circuit. The sensor concept is capable of measuring AC currents with DC offset, having periodic zero crossings, as given in power-factor-corrected (PFC) circuits.

2.4 Total Harmonic Distortion

Total harmonic distortion (THD) ratio is a form of nonlinear distortion in circuits in which harmonics (signals whose frequency is an integer multiple of the input signal) are generated. A wide class of nonlinear circuits can be described by the equation

\[ y(t) = a_1 x(t) + a_2 x(t)^2 + a_3 x(t)^3 + \cdots + a_k x(t)^k. \]  
(2.8)

In linear circuits only \( a_1 \) coefficient is nonzero. For example for a nonlinear circuit described by equation \( y(t) = x(t) - 0.2x(t)^3 + 0.15x(t)^5 + 0.11x(t)^7 - 0.05x(t)^9 \)
Table 2.2 Comparison of current sensing techniques

<table>
<thead>
<tr>
<th>Current-sensing technique</th>
<th>Galvanic isolation</th>
<th>Accuracy</th>
<th>Power dissipation</th>
<th>Relative cost</th>
<th>Typical current ranges</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transformer</td>
<td>Yes</td>
<td>0.1–5%</td>
<td>Low-Moderate</td>
<td>Low</td>
<td>Up to 15 kA, AC</td>
</tr>
<tr>
<td>Sensing resistor</td>
<td>None</td>
<td>0.01–5% mainly depends on resistor tolerance</td>
<td>Moderate-High</td>
<td>Low</td>
<td>Up to 500 A, DC-100 kHz</td>
</tr>
<tr>
<td>Sensing resistor with high common mode amplifier</td>
<td>None</td>
<td>0.01 – 5%</td>
<td>Moderate-High</td>
<td>Low</td>
<td>Up to 200 A, DC-100 kHz, common voltage from −20 V to 100 V</td>
</tr>
<tr>
<td>Open loop Hall effect sensor</td>
<td>Yes</td>
<td>5–10%</td>
<td>Low</td>
<td>Low</td>
<td>Up to 15 kA, DC-50 kHz</td>
</tr>
<tr>
<td>Closed loop Hall effect sensor</td>
<td>Yes</td>
<td>1–5%</td>
<td>Moderate-High</td>
<td>Moderate-High</td>
<td>Up to 15 kA, DC-200 kHz</td>
</tr>
<tr>
<td>Transformer with magnetic modulation</td>
<td>Yes</td>
<td>0.001–0.5%</td>
<td>High</td>
<td>High</td>
<td>Up to 700 A, DC-500 kHz</td>
</tr>
<tr>
<td>Rogowski coil—one air coil</td>
<td>Yes</td>
<td>1–2%</td>
<td>Low</td>
<td>Low</td>
<td>Up to 10 kA, 10 Hz–100 kHz</td>
</tr>
<tr>
<td>Two air coils</td>
<td>Yes</td>
<td>0.5–1%</td>
<td>Low</td>
<td>Low</td>
<td>Up to 10 kA, 10 Hz–100 kHz</td>
</tr>
</tbody>
</table>

The response for DC input signal in range −1 to 1 is shown in Fig. 2.20. The response for unity amplitude sinusoidal signal, \( f = 100 \text{ Hz} \), is depicted in Fig. 2.21. The waveforms of input and output signal are presented in Fig. 2.21a. The output signal distortion causes generation of signal harmonics. The spectrum of the output signal is shown in Fig. 2.21b. Listing of Matlab program for the nonlinear circuit simulation:

```matlab
clear all; close all;
roz_fon = 18; grubLin = 2;
N = 2^12; \% number of samples
fs = 12800; \% sampling frequency
f_1 = 50; f_1k = round(f_1/(fs/N)) * fs/N; \% line frequency
A_1 = 1;
t = (0 : N - 1)/fs; \% time vector
a = [1 0 -0.2 0 0.15 0 -0.11 0 -0.05]; \% coefficients
x = ((0 : N - 1)/N) * 2 – 1; \% input signal \(-1...1\)
y = a(1) * x + a(2) * x.^2 + a(3) * x.^3 + a(4) * x.^4 + a(5) * x.^5 + ... + a(6) * x.^6 + a(7) * x.^7 + a(8) * x.^8 + a(9) * x.^9;
plot(x,y,’k’,x,x,’k’,’LineWidth’,grubLin);
set(gca,’FontSize’,[roz_fon],’FontWeight’,’d’),
```
Fig. 2.20 The static characteristics of nonlinear circuit, response for input signal $-1$ to $1$

Fig. 2.21 The nonlinear circuit response for sinusoidal signal, $f = 100$ Hz, a input and output signal waveforms, b spectrum of the output signal

```matlab
xlabel ('x'); ylabel ('y,x'); grid on;

%% Response for sinusoidal signal 100 Hz
x = A1 * sin(2 * pi * f_1k * t); % input sinusoidal signal
y = a(1) * x + a(2) * x.^2 + a(3) * x.^3 + a(4) * x.^4 + a(5) * x.^5 + ... + a(6) * x.^6 + a(7) * x.^7 + a(8) * x.^8 + a(9) * x.^9;

% spectrum
w_y = fft(y)/N * 2; w_y_dB = 20 * log10(abs(w_y) + eps);
f = (0 : N - 1) * fs/N;
subplot (211);
```
2.4 Total Harmonic Distortion

The total harmonic distortion (THD) ratio is measured in percents or in decibels (dB), and harmonic distortion is calculated as the ratio of the level of the harmonic to the level of the original frequency.

\[
THD = \sqrt{\frac{\sum_{k=2}^{N} U_k^2}{U_1^2}}.
\]

(2.9)

where: \(U_1\)—amplitude of first (or fundamental) harmonic, \(U_k\)—amplitude of the \(k\)-th harmonic, and expressed in dB

\[
THD_{dB} = 20 \log \left( \sqrt{\frac{\sum_{k=2}^{N} U_k^2}{U_1^2}} \right).
\]

(2.10)

In power electronics systems other distortion factors \([11, 26]\) are also used, one of which is weighted harmonic distortion ratio in which the importance of harmonics decreases as the frequency increases

\[
WTHD = \frac{\sqrt{\sum_{k=2}^{K} \left( \frac{U_k}{k} \right)^2}}{U_1}.
\]

(2.11)

2.5 Analog Signal Sampling Rate

Sampling is an essential part of signal processing. It enables A/D transformation of the analog signal to occur. However useful this process is, some precautions need to be taken to ensure that the output signal is not changed significantly. Therefore, while sampling the analog signal at discrete intervals, \(T_s = 1/f_s\), the signal sampling frequency \(f_s\) (also called signal sampling speed) must be carefully chosen to ensure an accurate representation of the original analog signal. It is evident that the more
samples are taken (faster signal sampling rates), the more accurate the digital representation is. Hence if fewer samples are taken (lower sampling rates), a point is reached where critical information about the signal is actually lost. The discussion in this chapter focuses on periodic and uniform sampling. An example of a sample and hold circuit (SH) (also called sampling circuit or track and hold (TH) circuit) is shown in Fig. 2.22 and an illustration of an analog sinusoidal signal sampling process is presented in Fig. 2.23.

The history of the mathematical background of sampling theory goes back to the 1920s, when it was founded by Nyquist [39, 40] of Bell Telephone Laboratories. This original work was shortly supplemented by Hartley [24] and Whittaker. These papers formed the basis for the pulse code modulation (PCM) work and were followed in 1948 by Claude Shannon who wrote a paper on communication theory [52]. The sampling theory was discovered independently by Kotielnikov from the Soviet Union in 1933 [31]. Simply stated, the sampling theory criteria require that the sampling frequency must be at least twice the highest frequency contained in the analog
signal; otherwise the information about the analog signal will be lost. If the sampling frequency is less than twice the maximum analog signal frequency, a phenomenon known as aliasing will occur. It is a source of spurious signals occurring within the anti-aliasing filter as a result of the high signal bandwidth available in today’s ADCs. The main purpose of using an anti-aliasing filter is to limit the input signal’s bandwidth to eliminate high-frequency components. Therefore, it is required that in data sampling systems, the input signal’s spectrum frequency must not exceed one-half of the sample clock frequency. An ideal anti-aliasing filter would pass all signals within the band of interest and block all signals from outside of that band. It is the quality of the anti-aliasing filter which is the major factor in the signal-to-noise ratio (SNR). The SNR typically expressed in decibel, can be determined by the Eq. 1.12.

When the half sampling frequency $f_s/2$ is only slightly higher than $f_b$ for signal band $0-f_b$ (as happens in the classic system), the anti-aliasing has to have very sharp amplitude characteristics and a high dumping factor in the stopband. This results in the anti-aliasing filter being very complicated and expensive.

Currently, the development of integrated circuit (IC) manufacturing technology has led to the fact that fast digital circuits are freely available and cheap. Therefore, in modern systems the value of the sampling rate $f_s$ can be much higher than $f_b$ (this is called oversampling), so the requirements for the anti-aliasing filter are much lower. The spectra of a sampling signal process with aliasing occurrence are shown in Fig. 2.24.

The background of digital signal processing useful for A/D and D/A conversion is described by Oppenheim and Schafer [41], Proakis and Manolakis [44], Lyons [35], Rabiner and Gold [45], Zolzer [66, 67], and many others. Some interesting technical problems with A/D conversion are described in Data Translation [21, 22] and Analog Devices [28–30] (by Kester) technical reports and books. Other problems about signal oversampling and signal sampling rate changes are discussed in Chap. 3.

![Fig. 2.24](image) Impact of aliasing on the signal dynamics
2.6 Signal Quantization

In the process of A/D conversion, the amplitude resolution of digital signal is limited to digital representation. In most cases the $b$-bit fixed-point system is used, which eliminates the excess of digits by discarding them or by rounding off the number. An illustration of the sampling and quantization process of a sinusoidal analog signal is shown in Fig. 2.25.

In Fig. 2.26, spectra of quantized analog sinusoidal signals are shown, for $f = 700$ Hz, $f_s = 12800$ Hz, $b = 7$ bit (Fig. 2.26a) and $b = 7$ bit (Fig. 2.26b).
2.6 Signal Quantization

The digital signal is a sequence of numbers in which each number is represented by a finite number of digits

\[ x(n) \leftrightarrow x(nT_s), \quad -\infty < n < \infty, \quad (2.12) \]

where: \( n \)—number of samples, \( x(n) \) discrete signal obtained by sampling of analog signal \( x(t) \) every \( T_s \) period of time. The amplitude of the signal corresponding to the least significant bit (LSB) is determined by the equation

\[ \Delta = \frac{A_p}{2^{b-1}}, \quad (2.13) \]

where: \( A_p \)—maximum amplitude of converted signal. The \( \Delta \) is also called quantization step size and resolution. The additive linear model of quantization process is shown in Fig. 2.27.

The quantization error is defined by equation

\[ e_q(n) = x_q(n) - x(n), \quad (2.14) \]

\( e_q(n) \) in the rounding quantization process is limited to the range of \(-\Delta/2\) to \(\Delta/2\), that is

\[ -\frac{\Delta}{2} \leq e_q(n) \leq \frac{\Delta}{2}, \quad (2.15) \]

Signal quantization adds noise to the signal, which deteriorates the signal dynamic range. For a sinusoidal signal and quantization noise uniformly distributed, for fixed-point \( b \)-bit system the noise power can be calculated by

\[ P_n = \frac{\Delta^2}{12}. \quad (2.16) \]

For full-scale a sine-wave is used as the input, then the SNR can be written exactly as

\[
\text{SNR} = 10 \log_{10} \left( \frac{P_x}{P_n} \right) = 10 \log_{10} \left( \frac{\frac{A_p^2}{2}}{\frac{\Delta^2}{12}} \right) = 10 \log_{10} \left( \frac{3^2}{2^{2b}} \right) \approx 1.76 + 6.02b.
\]

(2.17)
2.7 Noise Shaping Technique

Figure 2.28 shows the spectra for the different methods of D/A conversion. The spectrum of the classical method of D/A conversion is shown in Fig. 2.28a. In the assumed model of quantization noise, spectral density is constant throughout the band 0 to \( f_b \). By using oversampling noise power in band 0 to \( f_b \) can be determined from the expression

\[
P_{nb} = P_n \frac{2f_b}{f_s}.
\]

(2.18)

where: \( P_n \) — noise power in band 0 to \( f_s/2 \).

Therefore, for D/A conversion with oversampling expression for the signal-to-noise ratio (2.17) can be modified to form

\[
SNR = 1.76 + 6.02b + 10 \log \frac{f_s}{2f_b}.
\]

(2.19)

Doubling the sampling rate increases the signal-to-noise ratio by 3dB. Out-of-band noise can be suppressed by the output analog low-pass filter as shown in Fig. 2.28c. Further, increasing the signal-to-noise ratio can be achieved by the use of the digital noise shaping circuit. With this solution, the noise is moved outside the band of interest. The spectrum of such a solution is shown in Fig. 2.28d.

---

**Fig. 2.28** Spectrum of D/A conversion: a classical method, b method with oversampling, c method with oversampling and analog filter, d method with oversampling, analog filter and noise shaping circuit
The noise shaping circuit works by putting the quantization error in a feedback loop. Different circuit architectures can be used for spectral shaping of the quantization noise, i.e., for moving it away from the band of interest toward higher frequencies [16]. Noise shaping circuits with feedback were first presented by Cutler [20] in 1954, and their detailed analysis was done by Spanga and Schultheiss [55]. A block diagram of a quantization noise shaping circuit using a linear quantizer model with feedback [23, 55, 64] is shown in Fig. 2.29. Output signal can be calculated as

$$Y(z) = X(z) - H(z)E(z), \quad (2.20)$$

and quantization error is calculated from

$$-E(z) = Y(z) - Y_q(z). \quad (2.21)$$

Finally, the output quantized signal is calculated by formula

$$Y_q(z) = X(z) - (1 - H(z))E(z) = X(z)H_s(z) + E(z)H_n(z), \quad (2.22)$$

where: $H_s(z) = 1$—transfer function for signal, $H_n(z) = 1 - H(z)$—transfer function for noise. A properly designed circuit with noise shaping has flat frequency response $H_s(z)$ in the signal frequency. On the other hand, $H_n(z)$ should have high attenuation in the frequency band of interest and a low attenuation in the rest of the band. For a low oversampling ratio $R$, an efficient way to increase the signal-to-noise ratio is by use of a second-order loop filter.

A block diagram of the processing of $b$-bit digital signal $X(z)$ of the sampling rate $f_s$ by means of $a$-bit D/A converter to the analog signal is shown in Fig. 2.30. Digital input signal $X(z)$ with a resolution of $b$-bit is interpolated by a factor of $R$, and produces a signal with a resolution of $b$-bit or more, depending on the method of interpolation for sample rate $Rf_s$. For example, for the SHARC digital signal processor it is 32/40-bits. Then the resolution of the signal is reduced to $a$-bits and the difference of signals $Y(z)$ and $Y_q(z)$ is transformed by a system of noise shaping transfer function $H(z)$.

In the simplest case, only with a delay of $H(z) = z^{-1}$ to the input signal $X(z)$ is added to this portion of the signal, which has not been processed in the previous cycle. A block diagram of such a system, with the $b$-bit input samples the D/A converter
converts only the oldest $a$-bits, and the remaining bits are added to the next sample, shown in Fig. 2.31. The transfer function of the noise $H_n(z)$ in the simplest case can be of the FIR and the $N$-th order is defined by the equation

$$H_n(z) = (1 - z^{-1})^N.$$  \hfill (2.23)

The frequency characteristics of noise attenuation of the noise shaping circuits described by the above Eq. (2.23) for the orders $N = 1 - 6$ is shown in Fig. 2.32. Figure 2.33 shows the block diagrams of noise shaping circuits of the first and second order. In order to prevent the overflow of numbers in circuits amplitude limiters are introduced.

The presented noise shaping method is one of several techniques, very common is being to apply the delta-sigma modulation [15, 17, 38, 43, 51]. This author has applied the noise shaping circuit for high-quality class D audio amplifier [53, 54].

The noise shaping technique for D/A conversion shown in this section can be also used for A/D conversion.

### 2.8 Dither

The resolution of A/D and D/A conversion can be increased by adding to the input signal a low-level noise signal; this signal is called a dither [38, 42, 43, 51, 53]. It is an intentionally applied form of noise signal used to randomize quantization error.
Dither is routinely used in the processing of both digital audio and video data, and is often one of the last stages of audio production of compact disks. Figure 2.34a shows circuit with analog dither added before the A/D converter, but after the SH circuit. Similarly, a digital dither signal may be used to improve the D/A conversion as shown in Fig. 2.34. It can also be successfully used in power electronics systems. The amplitude of this signal must be small in order to avoid reduction of the dynamic range of the signal. The author’s research shows that it should be an amplitude in the range (0.5–2) LSB [53]. Figure 2.35 depicts a simple digital circuit where dither $D(z)$
signal is added to input signal $X(z)$ before its quantization. Application of a dither signal can also improve the performance of the noise shaping circuit as shown in Fig. 2.36a. A pseudorandom signal is added outside the loop feedback, so that it will not be followed by the tracking loop. Simulation studies carried out by the author [53] of the simulation shows that the optimum pseudorandom signal amplitude in terms of obtaining the greatest signal-to-noise ratio $SINAD$ is about 0.3 LSB. The amplitude of the random signal to be added is so small due to the fact that noise shaping circuit has a feedback loop that reduces the size of the quantization step.

Adding to the input signal pseudorandom signal degrades $SNR$; therefore, it would be beneficial to change the amplitude depending on the amplitude of the input signal. For input signals with large amplitudes, the amplitude of the random signal is reduced. The block diagram of such a circuit is shown in Fig. 2.36b. The amplitude of the random signal $d(nT)$ can be modulated depending on the input module [37] according to the equation

$$d_m(nT_s) = (1 - \sqrt{|x(nT_s)|})^2 d(nT_s).$$

(2.24)

### 2.9 Signal Headroom

The $SNR$ value from Eq. (2.17) is only possible when the signal amplitude is equal to $A_p$, in practice it is not possible to work with such high amplitude. It is, therefore, necessary to leave an adequate margin to exceed the value of the signal and additional space for the signal pulse components called signal headroom. Figure 2.37 shows an illustration of this phenomenon, where $A_{p1}$ is nominal amplitude of the input signal, and $A_{p2}$ is an extended amplitude of the input signal.
Fig. 2.36  Dither circuits with noise shaping circuit: \(a\) with constant level of dither, \(b\) with dynamic level of dither

Fig. 2.37  Signal headroom
Therefore, in real systems, the value of $SNR$ will be lower and is apparent from the number of A/D converter bits and can be calculated by the formula

$$SNR = 10 \log \left( \frac{\frac{A_p^2}{2}}{\Delta^2} \right) = 10 \log \left( \frac{\frac{A_p^2}{2}}{\frac{A_p^2}{2^{2b-1}}} \right)$$

$$= 10 \log \left( \left( \frac{A_p}{A_p^2} \right)^2 \frac{3}{2} 2^{2b} \right)$$

$$\approx 1.76 + 6.02b + 20 \log \left( \frac{A_p}{A_p^2} \right). \quad (2.25)$$

### 2.10 Maximum Signal Frequency versus Signal Acquisition Time

The signal acquisition time is the time required by the circuit to settle to its final value after it is paced in the hold mode. Signal acquisition time $t_{aq}$ relates to A/D converters which use a sample and hold (or track and hold) circuit on the input to acquire and hold (to a specified tolerance) the analog input signal, see Brannon and Barlow [14]. For an A/D converter without a sample and hold circuit on the input, the signal acquisition time is equal to the converter conversion time $t_c$. The only exception concerns flash converters with well-matched comparators. An illustration of a sampling process is shown in Fig. 2.38, assuming that the amplitude of the input signal in the acquisition process does not change more than half of the LSB of the A/D converter. Assuming maximum signal change during sampling process

$$\Delta U \leq 0.5 \Delta = 0.5 \frac{A_p}{2^{b-1}} = \frac{A_p}{2^b}. \quad (2.26)$$

![Fig. 2.38 Signal acquisition process](image-url)
The analog input sinusoidal signal with amplitude $A_p$ and frequency $f$

$$u_{in}(t) = A_p \sin(2\pi f t). \quad (2.27)$$

The maximum speed of the signal change is determined by the equation

$$\left. \frac{du_{in}(t)}{dt} \right|_{\text{max}} = 2\pi A_p f. \quad (2.28)$$

Assuming that

$$t_{aq} \ll 1/f. \quad (2.29)$$

$\Delta U_{in}$ can be determined from

$$\Delta U_{in} = 2\pi A_p ft_{aq}. \quad (2.30)$$

As a result of a straightforward algebraic manipulation, we obtain an equation describing the maximum signal frequency

$$\Delta U_{in} \leq \Delta U, \quad (2.31)$$

$$2\pi A_p ft_{aq} \leq \frac{A_p}{2^b}, \quad (2.32)$$

$$f \leq \frac{1}{2\pi 2^b t_{aq}}. \quad (2.33)$$

### 2.10.0.1 Example 2.1

With maximum signal frequency for a number of bits $b = 16$, acquisition time $t_{aq} = 10$ ns, and determined from the above inequality the maximum signal frequency is $f < 242.8$ Hz. As explained, this is one of the most important factors in the A/D conversion.

Using the A/D converter with a very small value of acquisition time meeting the requirements of Eq. 2.33 are very difficult and expensive. Therefore, systems are used where the acquisition time is much greater. Of course, in a single channel system, there will be differences in the signal sampling moment, and often the requirements of Eq. 2.33 can be omitted. However, in such a case, in multichannel systems, it is vital to provide the same value of acquisition time for all channels.
2.11 Errors in Multichannel System

In multichannel systems, it is very important that the input signal is simultaneously sampled to reduce amplitude and phase errors. Multichannel A/D converters with a sampling circuit have three very common architectures, which are depicted in a two-channel version in Fig. 2.39. Two presented solutions provide simultaneous sampling (Fig. 2.39a, b) and one sequential sampling (Fig. 2.39c). A two-channel sequentially sampling analog-to-digital converter is depicted in Fig. 2.39a. In this circuit, current $i_1(t)$ is converted to a current signal $i_{11}(t)$ using galvanic isolated current transducer.
2.11 Errors in Multichannel System

CT, and in the same way a voltage signal using galvanic isolated voltage transducer VT is processed. Then the signals pass through anti-aliasing low-pass filters and are simultaneously sampled. After this, the A/D converters process and convert them into digital form. This solution is the fastest and the most comfortable for control circuit designers, however, it is also the most expensive. An alternative solution is a system with a single A/D converter with a few simultaneous sample and hold circuits. A block diagram of such a solution for the two channels is shown in Fig. 2.39b.

In comparison to the previous solution, this circuit is the simplest and hence can be cheaper. However, the errors associated with different capacitor hold times in sample and hold circuits will appear and deteriorate the accuracy of the system. The third circuit, based on multiplexed architecture, uses only one sampling circuit and A/D converter for all channels (Fig. 2.39c). The main disadvantage of a sequentially sampling A/D converter is the time error between channel samples. An illustration of this phenomenon is shown in Fig. 2.40, where two sample signals in time misalignment are shown. Discussion of simultaneous sampling versus sequential sampling is found for example in Data Translation reports [21, 22]. In the author’s opinion, the best solution is a simultaneously sampling A/D converter, however, if it cannot be applied, the sequentially sampling A/D converter with time alignment has to be used. The benefits of simultaneous sampling compared to sequential sampling are:

- less jitter error,
- higher bandwidth of the system,
- less channel-to-channel crosstalk,
- less settling time.
2.12 Amplitude and Phase Errors of Sequential Sampling A/D Conversion

Due to the fact that the typical A/D converters (with built-in or separate microprocessors) allow only sequential sampling, and errors in such a solution will be considered. Sinusoidal input signals with the same frequency \( f \) and \( A_p \) amplitudes

\[ u_1(t) = A_p \sin(2\pi ft), \quad u_2(t) = A_p \sin(2\pi f(t + t_c)). \]  

(2.34)

Difference of signals (Fig. 2.40)

\[ \Delta U(t) = u_1(t) - u_2(t) \]
\[ = 2A_p \cos\left(\frac{2\pi ft + 2\pi f(t + t_c)}{2}\right) \sin\left(\frac{2\pi ft - 2\pi f(t + t_c)}{2}\right) \]
\[ = 2A_p \cos(2\pi ft + \pi f t_c) \sin(-\pi f t_c). \]  

(2.35)

Maximum of \( \Delta U(t) \)

\[ \frac{dU(t)}{dt} = 4A_p \pi f \sin(2\pi ft + \pi f t_c) \sin(\pi f t_c) = 0. \]  

(2.36)

So the derivative is zero when

\[ 2\pi ft + \pi f t_c = 0 \rightarrow t = -0.5t_c. \]  

(2.37)

Maximum value of signal error can be calculated by the formula

\[ \Delta U_{\text{max}} = \Delta U(t)|_{t=-0.5t_c} = 2A_p \cos\left(\frac{2\pi f (-0.5t_c) + 2\pi f t_c}{2}\right) \sin(-\pi f t_c) \]
\[ = 2A_p \cos(0) \sin(-\pi f t_c) \]
\[ = 2A_p \sin(-\pi f t_c). \]  

(2.38)

However, phase error can be determined from the equation

\[ \Delta \phi = \frac{t_1}{T} 360 - \frac{t_1 - t_c}{T} 360 = 360 t_c f. \]  

(2.39)

Example 2.2. With maximum signal frequency for sequential sampling for an A/D conversion time \( t_c = 5 \mu s \), signal amplitude \( A_p = 1 \) and signal frequency \( f = 50 \text{ Hz} \) it is possible to determine from the above equation: maximum signal error is \( \Delta U = 1.57 \text{ mV} \), phase error \( \Delta \phi = 0.09 \), and results for the 50th harmonics (\( f = 2500 \text{ Hz} \)): \( \Delta U = 39.26 \text{ mV} \) and \( \Delta \phi = 4.5 \). The result will be worse in multichannel systems with sequential sampling, where the A/D conversion time \( t_c \) for the last channel will be multiplied by the number of channels.
2.12 Amplitude and Phase Errors of Sequential Sampling A/D Conversion

For $b$-bit system signal error should be less than

$$|\Delta U_{\text{max}}| \leq 0.5 \Delta,$$

$$2A_p \sin(\pi ft_c) \leq \frac{A_p}{2^b},$$

(2.40)

Assuming

$$t_c \ll 1/f.$$  

(2.41)

Finally

$$t_c \leq \frac{1}{2\pi ftc 2^b}.$$  

(2.42)

The result is similar to the one obtained in Sect. 2.10.

Another source of errors in multichannel systems are the channel crosstalk and channel-to-channel offset. Channel-to-channel offset is the difference in the characteristics of analog input channels which causes measurement error, as if a small voltage were added to or subtracted from the input signal. Channel crosstalk is the leakage of signals between analog input channels in a data acquisition system. Channel crosstalk has the potential to increase uncorrelated noise in the A/D conversions, reducing the signal-to-noise ratio (SNR), while coupled signals can create spurs similar to harmonic terms, reducing spurious free dynamic range (SFDR), and total harmonic distortion (THD) ratio.

2.13 Synchronization of Sampling Process

The properties of the majority of digital signal processing algorithms (e.g., DFT) to a large extent depend on whether the processed signal is sampled coherently. Coherent sampling refers to a certain relationship between input frequency, $f_{in}$, sampling frequency $f_s$, $N_{\text{per}}$—integer number of signal periods in block of $N$ signal samples

$$f_s = N_{\text{per}} \frac{f_{in}}{N}.$$  

(2.43)

With coherent sampling one is assured that the signal magnitude in a DFT is contained within one DFT bin, assuming single input frequency. For example, Fig. 2.41 shows the spectrum of the same signal with coherent sampling (Fig. 2.41a) and noncoherent sampling (Fig. 2.41b). Therefore, in the author’s opinion, for systems connected to the power network, it is expedient to use synchronization.

In Fig. 2.42, a block diagram of an analog phase-locked loop (PLL) circuit is depicted. Using this circuit, it is possible to generate signal with frequency $K$ times bigger than input frequency. A PLL circuit can track a reference frequency and it can generate a frequency that is a multiple of the input frequency. The phase of both
signals is synchronized too. The PLL generates output signal with frequency

\[ f_{out} = K f_{ref} \]  

(2.44)

where: \( f_{ref} \)—reference input frequency, \( K \)—frequency multiplication factor. While designing the analog synchronization circuit, the output of the power electronic device should be taken into consideration. In most cases, it is a pulse width modulator (PWM) generating pulse controlled output switches (typically transistors). When the modulation frequency is independent of the reference system frequency (e.g., power line frequency), it will certainly result in generation of low frequency components. This is an effect of the beat frequency between the reference and modulation frequency. Hence, in the author’s opinion, the input and output should be synchronized, which will minimize errors and eliminate unwanted components. The block diagram of such a solution is depicted in Fig. 2.43.

In the author’s opinion, the same situation occurs during simulation tests, and if it is possible a coherent frequency of test signals should be used. A simple listing of the Matlab program for coherent frequency calculation is shown below:
2.13 Synchronization of Sampling Process

N = 2048; % length of signal block
fs = 10000; % sampling frequency
f = 50; % required frequency of the signal
f_koh = round (f/(fs/N)) * fs/N; % nearest coherent frequency

2.14 Sampling Clock Jitter

An important factor in the sampling process is the sampling clock in the A/D converter. Due to hardware error and noise the sampling moments in real A/D converters are uncertain. Problems of sampling signal uncertainty are described by many authors [10, 13, 14, 36, 49]. Variation in the sampling time is known as aperture uncertainty, or jitter, and will result in an error voltage that is proportional to the magnitude of the jitter and the input signal slew rate. In other words, the greater the input frequency and amplitude, the more susceptibility to jitter is in the clock source. Figure 2.44 shows a sampling pulse clock with jitter. Value of jitter $\Delta t$ corresponds to jitter amplitude $A_{jitter}$. Figure 2.45 shows how jitter generates an signal error.

$$\Delta U = \Delta t \frac{du_{in}}{dt}.$$

$$\Delta t = \frac{2 \times A_{jitter}}{f \times \text{fs}}.$$

$N$ = 2048; $\%$ length of signal block
$fs$ = 10000; $\%$ sampling frequency
$f$ = 50; $\%$ required frequency of the signal
$f_koh$ = round ($f / (fs/N)) \times fs/N; $\%$ nearest coherent frequency

2Ajitter

$\Delta U = \Delta t \frac{du_{in}}{dt}.$ (2.45)
The maximum value of voltage error for sine wave of frequency \( f \) and amplitude \( A \) is at zero crossing
\[
\Delta U_{\text{max}} = \Delta t \frac{\Delta u}{\Delta t} = \Delta t A_{\text{in}} 2\pi f.
\] (2.46)

This error cannot be corrected later, because it is already attached to the sampling sequence that is being processed for digitization and will impact the overall performance of the A/D converter and finally of the control system, as shown in Eq. 2.46.

\[
\Delta U_{\text{max}} < 0.5\Delta, \; \Delta U_{\text{max}} < \frac{A_{\text{in}}}{2^b}.
\] (2.47)

then
\[
\Delta t < \frac{1}{2\pi f 2^b}.
\] (2.48)

\[
\text{SNR}_{\text{jitter}} = -20\log(2\pi f \Delta t_{\text{rms}}).
\] (2.49)

Example 2.3. Assuming the following parameters: sampling frequency \( f_s \) = 6400 Hz, signal frequency \( f \) = 200 Hz, jitter amplitude \( A_{\text{jitter}} \) = 0.01Ts = 1.5625 µs, signal-to-noise ratio SNR the value calculated from the formula 2.49 is equal to \( \text{SNR} = 50 \text{ dB} \). For such assumed values, a simulation in the Matlab environment was also made. In Fig. 2.46, the results of the simulation are shown. The spectrum presented in Fig. 2.46a is for sinusoidal signal, which was coherently sampled without jitter, the \( \text{SNR} = 257.68 \text{ dB} \) of this signal is only limited by the Matlab arithmetic accuracy. The spectrum of signal sampled with jitter is shown in Fig. 2.46b. In this case, the value of signal-to-noise ratio calculated on the basis of simulation is equal to \( \text{SNR} = 59.01 \text{ dB} \) and it is almost equal to the value calculated by formula 2.49.
2.15 Effective Number of Bits

An interesting parameter of the digital signal is the effective number of bits (ENOB). This parameter takes into account all errors made during the conversion. This parameter is especially important today when there is easy access to 18-bit A/D converters and by incompetent application, it is easy to reduce the effective number of bits to 10–12. Major sources of errors during the A/D conversion are digital transmission and clock signals of high-speed processors. Among the most important are: quantization noise, jitter, A/D converter noise, aliasing, integral and differential nonlinearity, channel crosstalk, channel-to-channel crosstalk, and other error sources (Fig. 2.47).

Fig. 2.46 Spectra of coherent sampled signals: a without jitter, b with jitter

Fig. 2.47 Effective number of bits
Simplified analog front end used for effective number of bit calculation is shown in Fig. 2.48. $SINAD$ is the ratio of the wanted signal (the fundamental) to the sum of all distortion and noise products, after the DC term is removed. $SINAD$ is a measure of the quality of a signal, defined as:

$$SINAD = 10 \log \left( \frac{P_x}{P_d + P_n} \right), \quad (2.50)$$

where: $P_x$, $P_n$, $P_d$—are the average power values respectively of the signal, noise, and distortion components. The effective number of bits can be described by the equation

$$ENOB = \frac{SINAD_M - 1.76 \, dB}{6.02}. \quad (2.51)$$

where: $SINAD_M$—is the measured or calculated value of $SINAD$. The $SINAD_M$ contains not only A/D converter error but all conversion errors (Fig. 2.48). Equation 2.51 is valid only for a full scale signal, while for a signal with amplitude less than full scale real $ENOB_R$ can be calculated by equation

$$ENOB_R = \frac{SINAD_M - 1.76 \, dB - 20 \log \left( \frac{A_F}{A_{in}} \right)}{6.02}. \quad (2.52)$$

where: $A_F$ is the converter full-scale input signal amplitude, and $A_{in}$ is the input signal amplitude.

$$ENOB_R = 0.5 \log_2 \left( \frac{P_x}{P_{nd}} \right) - 0.5 \log_2 \left( \frac{2}{3} \right) - 0.5 \log_2 \left( \frac{A_F}{A_{in}} \right), \quad (2.53)$$

where: $P_{nd}$ is the power of noise and distortion.
2.16 A/D Converters Suitable for Power Electronics Control Circuits

Currently, the number of types of integrated circuits manufactured for A/D converters exceeds a few hundred, so the designer can be confused when choosing the best one for his application. A subjective choice of the A/D converter will be presented in this section. During the selection process, the designer should consider following features:

- sampling speed,
- accuracy and resolution,
- input voltage range,
- interface—serial or parallel,
- sampling synchronization,
- power consumption and supply voltage,
- conversion delay time,
- standalone IC or integrated with microprocessor,
- cost and availability.

Given the above sources of error, in the author’s opinion the A/D converter useful for power electronics systems control circuits must meet the following requirements:

- simultaneous sampling for multichannel solution—multiple SH circuits or multiple A/D converters,
- a number of bits greater than 12,
- minimum delay introduced by the A/D converter, the best solution is A/D converter with successive approximation (SA), most popular and cheap A/D converter with delta sigma modulator has to be carefully considered,
- the ability to synchronize the sampling time by an external signal,
- if possible coherent synchronous sampling should be used.

Despite the large number of available A/D converters, these requirements are only met by a small number of commercial integrated circuits and the choice is very limited. As leading companies in this area there can be considered Analog Devices and Texas Instruments.

2.16.1 A/D Converter with Successive Approximation

An A/D converter with successive approximation (SA) is one of the best solution, for power electronics, because of its short response time. Of course there are known faster A/D converters, such as flash, pipeline etc., but typically their resolution is less than 12-bit. A block diagram of an A/D converter with successive approximation is shown in Fig. 2.49. In this A/D converter, the processed sampled input signal is compared with a signal from the D/A converter by analog comparator. The D/A
A/D converter is controlled by the successive approximation register, which sequentially switches on the individual bits, starting from the most significant bit (MSB) to the least significant bit (LSB). During this process, a decision is made about whether to leave the bit switched on or turn it off. Hence, the number of cycles is equal to the number of bits. Maximum response time is equal

\[ t_{\text{max}} = T_s + t_{\text{cov}} + t_{\text{tran}} \quad \text{and} \quad T_s > t_{\text{cov}} + t_{\text{tran}}, \] (2.54)

where: \( t_{\text{cov}} \) — A/D converter conversion time, \( t_{\text{tran}} \) — data from A/D converter to microprocessor transmission time.

### 2.16.2 A/D Converter with Delta Sigma Modulator

In recent years, the A/D converter with delta sigma modulator (DSM) is the most common A/D converter, due to its simplicity of implementation and cheapness [38, 43]. In this converter, the oversampling technique is used which allows an increase in the resolution from 1 to 24 bits. An additional advantage is the absence of SH and lower requirements for the anti-aliasing filter. A block diagram of A/D converter with delta sigma modulator is shown in Fig. 2.50. A one bit A/D converter consists of: integrator, D flip-flop, comparator and a one bit D/A converter. It produces a bit stream with sampling speed \( Rf_s \). Then the signal is processed by a low-pass filter and its sampling speed is reduced to \( f_s \). This filter is responsible for the signal delay. Typically, there are used finite impulse response (FIR) digital filters with an order range from one hundred to several hundred. The delay introduced by the filter FIR is approximately equal to the number of samples which is equal to half the filter order.
2.16 A/D Converters Suitable for Power Electronics Control Circuits

Fig. 2.50 Block diagram of A/D converter with delta sigma modulator

\[ t_{\text{delay}} = 0.5 \frac{f_s}{R} N, \]  

(2.55)

where: \( N \)—FIR order, \( \frac{T_s}{R} \)—conversion period. The output data are fully settled after \( N \) conversion periods. Therefore, application of this kind of converter should be carefully considered. The value of \( R \) is typically ranging from 64 to 2048 and it is most often a power of two.

2.16.3 Selected Simultaneous Sampling A/D Converters

In this section are discussed some selected representative simultaneous sampling A/D converters.

2.16.4 ADS8364

A typical AD converter with simultaneous sampling suitable for power electronics applications is IC ADS8364 from Texas Instruments. The ADS8364 includes six, 16-bit, 250 KHz AD converters with six fully differential input channels grouped into two pairs for high-speed simultaneous signal acquisition \[58\]. The AD converters work using a successive approximation algorithm. Inputs to the SH amplifiers are fully differential and are kept differential in respect to the input of the ADC. This provides excellent common-mode rejection of 80 dB at 50 KHz, which is important in high-noise environments. The ADS8364 offers a flexible high-speed parallel interface with a direct address mode, a cycle, and a FIFO mode. The output data for each channel is available as a 16-bit word. A simplified block diagram of the ADS8364 is shown in Fig. 2.51.
Selected features of ADS8364:

- 8 simultaneously sampled inputs,
- true bipolar analog input range \( \pm 2.5 \) at \(+2.5\) V
- 6-channel fully differential inputs,
- 6 independent 16-bit ADC,
- \( 4\mu s \) total throughput per channel,
- on-chip accurate reference and reference buffer,
- testing no missing codes to 14-bits,
- 83.2 dB SNR, 82.5 dB SINAD,
- applications: motor control, 3-phase power control, multi-axis positioning system.

For the designer of a control circuit used in power electronics, it is a very comfortable arrangement and only the high cost may deter its use.

### 2.16.5 AD7608

Particularly, noteworthy is that the IC AD7608 from Analog Devices is an 8-channel, 18-bit SA data acquisition system (DAS) [6]. It should be noticed that in one single chip there are also integrated eight programmable anti-aliasing second-order filters.
Analog signals can be simultaneously sampled by eight track and hold (TH) circuits. A simplified block diagram of the AD7608 is shown in Fig. 2.52.

Selected features of AD7608:

- 8 simultaneously sampled inputs,
- true bipolar analog input ranges: ±10, ±5 V,
- single 5 V analog supply and 2.3 to 5 V VDRIVE,
- fully integrated data acquisition solution,
- analog input clamp protection,
- input buffer with 1 MΩ analog input impedance,
- second-order programmable antialiasing analog filter,
- on-chip accurate reference and reference buffer,
- 18-bit SA A/D converter with 200kSPS on all channels,
- oversampling capability with digital filter,
- 98 dB SNR, −107 dB THD,
- parallel or serial interface.

For the designer of a control circuit used in power electronics, it is a very comfortable arrangement and only the high cost may deter its use.
ADS1278 is an octal channel 24-bit, DSM A/D converter with data rates up to 144 kSPS, allowing simultaneous sampling of eight channels [63]. The A/D converter offers the highest possible resolution of A/D converters. A simplified block diagram of the ADS1278 is shown in Fig. 2.53. The A/D converter consists of eight advanced, sixth-order chopper-stabilized, delta-sigma modulators followed by low-ripple, linear phase FIR filters. Oversampling ratio $R$ is equal to 64 or 128. After the step change on the input occurs, the output data change very little prior to 30 conversion periods. The output data are fully settled after 76 or 78 periods depending on the converter mode. For high-speed mode, the maximum clock $f_{clk}$ input frequency is 37 MHz and output signal sampling rate $f_{data}$ is equal to

$$f_{data} = \frac{f_{clk}}{4R} = 144531.25 \text{ SPS.} \quad (2.56)$$
2.16 A/D Converters Suitable for Power Electronics Control Circuits

Fig. 2.54 Simplified block diagram of TMS320F28335 A/D converter

2.16.7 TMS320F28335

The rapidly growing market of microprocessors for power electronics circuits has caused manufacturers to create integrated circuits which can fully meet the needs of the control system. An example of such a system is the digital signal controller (DSC), from Texas Instruments [60, 62]. It is a complete system with many useful features in a single silicon chip. Therefore, it is especially good for power electronics applications. The core of the processor contains an IEEE-754 single-precision floating-point unit. It also consists of 16-channel 12-bit SA A/D converter, with 80 ns conversion rate and two sample and hold (SH) circuits. Therefore, the simultaneous sampling of two signals is possible. A simplified diagram of this A/D converter is shown in Fig. 2.54.

On the input of each sample and hold circuit is located an 8-channel analog multiplexer that allows sequential converting of 8-pairs of signal (sampled simultaneously). The voltage input range is equal to 0–3 V. The converter input voltage \( U_{in} \) can be determined from the equation

\[
U_{in} = \frac{D(U_{ref+} - U_{ref-})}{2^b - 1} + U_{ref-},
\]

where: \( D \)—converter digital output, \( U_{ref} \)—reference voltage, \( b \)—number of bits.

For \( U_{ref+} = 3 \text{ V}, U_{ref-} = 0 \text{ V} \) and \( b = 12 \)

\[
U_{in} = \frac{3D}{4095}.
\]
2.17 Conclusions

The chapter shows the most common sources of errors during conversion of analog signal to its digital form. This process is very important for the quality of the entire digital control system. However, in practical control systems the price is one of the most important limiting factors and the designer is forced to use a compromise solution. The discussion in this chapter gives better understanding of the selection of control system parameters.

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